

Exhibit G

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA**

GANESH SANKAR, ERIKA TITUS-LAY,
JARED CAVANAUGH, and KIMBERLY
VONGNALITH, individually, and on behalf
of all others similarly situated,
Plaintiffs,

v.

CALIFORNIA NORTHSTATE
UNIVERSITY, LLC,
Defendant.

Lead Case No. 2:24-cv-00473-DAD-JDP
Member Case No. 2:24-cv-01231-DAD-
JDP

SETTLEMENT AGREEMENT

This Settlement Agreement (“Settlement” or “Agreement”),¹ dated as of March 4, 2025, is entered into between Plaintiffs, on behalf of themselves and the Settlement Class, on the one hand, and Defendant, California Northstate University, LLC on the other hand. The Parties hereby agree to the following terms in full settlement of the Action, subject to a Final Approval Order entered by the Court.

I. Background

1. Defendant is an institution dedicated to education, developing, and training individuals to provide competent, patient-centered care.

2. In the course of operating its business, Defendant collects, maintains, and stores Private Information pertaining to certain of its current, former, and prospective students and employees, including, but not limited to their names, Social Security numbers, and other information that Defendant may deem necessary to provide its services.

3. On or about December 21, 2023, Defendant began notifying current, former, and prospective students and employees that it recently completed an investigation of an incident that

¹ All capitalized terms herein shall have the same meanings as those defined in Section II below.

involved unauthorized access to certain computer systems of Defendant. The investigation determined that between February 12, 2023, and February 13, 2023, an unauthorized actor potentially accessed and obtained certain files stored on Defendant's servers. Following a review of the files that were impacted, Defendant determined that information which may have been involved potentially included its current, former, and prospective students' and employees' names in combination with their Social Security numbers.

4. As a result of the Data Incident, on February 15, 2024, Plaintiff Ganesh Sankar filed a putative class action complaint against Defendant in the United States District Court for the Eastern District of California, Case No. 2:24-cv-00473-DAD-JDP. Thereafter, on April 29, 2024, Plaintiff Erika Titus-Lay filed a class action complaint against Defendant in the same court, Case No. 2:24-cv-01231JAM-DB.

5. Plaintiffs in both actions conferred with each other and with Defendant, and the Parties agreed to consolidate the actions and file a Joint Stipulation to Consolidate Cases [D.E. # 15], which the Court granted on May 15, 2024 [D.E. # 17].

6. Plaintiffs filed a Consolidated Class Action Complaint on June 14, 2024 [D.E. # 20].

7. Thereafter, on July 15, 2024, Defendant filed a Motion to Dismiss Plaintiffs' Complaint [D.E. # 22]. Plaintiffs filed their opposition to the motion on August 14, 2024 [D.E. # 25], and Defendant filed its reply in support of the motion on September 4, 2024 [D.E. # 26].

8. On July 29, 2024, the Parties prepared and filed a Joint Scheduling Report [D.E. 23].

9. On September 13, 2024, the Parties filed a Joint Stipulation to Bar Use or Enforcement of Arbitration Agreement and Class Action Waiver applicable to Defendant's current and former employees [D.E. # 28].

10. On October 21, 2024, the Parties filed a Stipulated Protective Order, which the Court entered on November 4, 2024 [D.E. # 29].

11. Plaintiffs' counsel also drafted and served written discovery, including interrogatories and a request for production.

12. At or around the same time, the Parties began discussing settlement and scheduled a mediation with mediator Rodney Max, an experienced data breach class action mediator, on December 12, 2024.

13. In advance of the mediation, Plaintiffs propounded informal discovery requests to learn as much as possible in advance of mediation. Through informal discovery, Plaintiffs were able to evaluate the merits of Defendant's position.

14. On November 27, 2024, the Parties discussed potential resolution and were able to agree upon the material terms of a settlement without the assistance of a mediator. Thus, the Parties cancelled their mediation scheduled for December 12, 2024, and filed a Joint Notice of Settlement and Motion to Stay on December 5, 2024 [D.E. # 31].

15. The Parties now agree to settle the Action entirely, without any admission of liability or wrongdoing, with respect to all Released Claims of the Releasing Parties. Defendant entered into this Agreement to resolve all controversies and disputes arising out of or relating to the Data Incident and the allegations made in the Complaint, and to avoid the litigation costs and expenses, distractions, burden, expense, and disruption to their business operations associated with further litigation. Defendant does not in any way acknowledge, admit to, or concede any of the

allegations made in the Complaint (and similarly does not concede any of the allegations in the other complaints in the Related Actions), and disclaims and denies any fault or liability, or any charges of wrongdoing that have been or could have been asserted in the Complaint. Nothing contained in this Agreement shall be used or construed as an admission of liability, and this Agreement shall not be offered or received in evidence in any action or proceeding in any court or other forum as an admission or concession of liability or wrongdoing of any nature or for any other purpose other than to enforce the terms of this Agreement. Plaintiffs have entered into this Agreement to recover on the claims in the Complaint, and to avoid the risk, delay, and uncertainty of continued litigation. Plaintiffs do not in any way concede that the claims alleged in the Complaint lack merit or are subject to any defenses. The Parties intend this Agreement to bind Plaintiffs, Defendant, and all Settlement Class Members.

NOW, THEREFORE, in light of the foregoing, for good and valuable consideration, the receipt and sufficient of which is hereby mutually acknowledged, the Parties agree, subject to approval by the Court, as follows.

II. Definitions

15. “Action” means the consolidated lawsuit entitled: *Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC*, Lead Case No. 2:24-cv-00473-DAD-JDP, filed in the United States District Court for the Eastern District of California.

16. “Application for Attorneys’ Fees, Costs, and Service Awards” means the application made with the Motion for Final Approval seeking Service Awards for Class Representatives and Class Counsel’s attorneys’ fees and reimbursement for costs.

17. “Cash Payment” means compensation paid to Settlement Class Members who elect

either Cash Payment A or Cash Payment B.

18. “Cash Payment A” means the Settlement Class Member Benefit that Settlement Class members who incurred documented losses may elect under Section V herein.

19. “Cash Payment B” means the Settlement Class Member Benefit available to Settlement Class members under Section V herein, which consists of an estimated \$100.00 cash payment.

20. “Claim” means the submission of a Claim Form by a Claimant.

21. “Claim Form” means the proof of claim that Settlement Class Members may submit to receive Settlement Class Member Benefits under this Agreement and that is substantially in the form attached hereto as *Exhibit 3*, subject to modification upon the Parties’ approval to meet the requirements of the Settlement Administrator.

22. “Claim Form Deadline” shall be 15 days before the initial scheduled Final Approval Hearing and is the last day by which a Claim Form may be submitted to the Settlement Administrator for a Settlement Class member to be eligible for a Cash Payment.

23. “Claimant” means a Settlement Class member who submits a Claim Form.

24. “Class Counsel” means: Kristen Lake Cardoso of Kopelowitz Ostrow P.A., Leanna A. Loginov of Shamis & Gentile, P.A., Scott Edelsberg of Edelsberg Law, P.A., and Cassandra P. Miller of Strauss Borrelli PLLC.

25. “Class List” means a list of Settlement Class members’ names and postal addresses that Defendant shall prepare and provide to the Settlement Administrator within 5 days of Preliminary Approval.

26. “Class Representatives” means Plaintiffs.

27. “Complaint” means the Consolidated Class Action Complaint filed in the Action

on October 1, 2024.

28. “Court” means the United States District Court for the Eastern District of California and the Judge(s) assigned to the Action.

29. “Credit Monitoring” means up to one year of credit monitoring Settlement Class Members may elect under Section V herein.

30. “Data Incident” means the cybersecurity incident involving Defendant resulting in the unauthorized access to or acquisition of Settlement Class Members’ Private Information between February 12, 2023, and February 13, 2023.

31. “Defendant” means California Northstate University, LLC.

32. “Defendant’s Counsel” means Baker Hostetler.

33. “Effective Date” means the day after the entry of the Final Approval Order, provided there are no objections to the Settlement. If there are objections to the Settlement, then the Effective Date shall be the later of: (a) 30 days after entry of the Final Approval Order if no appeals are taken from the Final Approval Order; or (b) if appeals are taken from the Final Approval Order, then the earlier of 30 days after the last appellate court ruling affirming the Final Approval Order or 30 days after the entry of a dismissal of the appeal.

34. “Escrow Account” means the interest-bearing account to be established by the Settlement Administrator consistent with the terms and conditions described herein.

35. “Final Approval” means the final approval of the Settlement, which occurs when the Court enters the Final Approval Order.

36. “Final Approval Hearing” means the hearing held before the Court during which the Court will consider granting Final Approval of the Settlement and the Application for Attorneys’ Fees, Costs, and Service Awards.

37. “Final Approval Order” means the final order that the Court enters granting Final Approval of the Settlement. The proposed Final Approval Order shall be in a form agreed upon by the Parties and shall be substantially in the form attached as an exhibit to the Motion for Final Approval. Final Approval Order also includes the orders, which may be entered separately, determining the amount of attorneys’ fees and costs awarded to Class Counsel and Service Awards to the Class Representatives.

38. “Long Form Notice” means the notification of the Settlement, substantially in the form attached hereto as *Exhibit 2*, that shall be posted on the Settlement Website and available to Settlement Class Members by mail upon request to the Settlement Administrator.

39. “Motion for Final Approval” means the motion that Plaintiffs and Class Counsel shall file with the Court seeking Final Approval of the Settlement.

40. “Motion for Preliminary Approval” means the motion that Plaintiffs and Class Counsel shall file with the Court seeking Final Approval of the Settlement, including Class Counsel’s Application for Attorneys’ Fees, Costs, and Service Awards.

41. “Notice” means the Postcard Notice, Long Form Notice, Settlement Website, and Settlement telephone line that Plaintiffs and Class Counsel will ask the Court to approve in connection with the Motion for Preliminary Approval.

42. “Notice Program” means the methods provided for in this Agreement for giving Notice and consists of the Postcard Notice, Long Form Notice, Settlement Website, and Settlement telephone line.

43. “Notice of Deficiency” means the notice sent by the Settlement Administrator to a Settlement Class member who has submitted an invalid Claim.

44. “Objection Period” means the period that begins the day after the earliest day on

which the Notice is first distributed, and that ends no later than 30 days before the Final Approval Hearing.

45. “Opt-Out Period” means the period that begins the day after the earliest day on which the Notice is first distributed, and that ends no later than 30 days before the Final Approval Hearing.

46. “Party” means each of the Plaintiffs and the Defendant, and “Parties” means Plaintiffs and Defendant collectively.

47. “Plaintiffs” means Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith.

48. “Postcard Notice” means the postcard notice of the Settlement, substantially in the form attached hereto as ***Exhibit 1***, that the Settlement Administrator shall disseminate to the Settlement Class by mail.

49. “Preliminary Approval” means the preliminary approval of the Settlement, which occurs when the Court enters the Preliminary Approval Order.

50. “Preliminary Approval Order” means the order preliminarily approving the Settlement and proposed Notice Program, substantially in the form attached hereto as ***Exhibit 4***.

51. “Private Information” means information collected by Defendant pertaining to Settlement Class members, including, but not limited to, names and Social Security numbers.

52. “Related Actions” means the following two actions filed in the Eastern District of California that were consolidated on May 15, 2024: *Sankar v. California Northstate University, LLC*, Case No. 2:24-cv-00473-DAD-JDP, and *Titus-Lay v. California Northstate University, LLC*, Case No. 2:24-cv-01231JAM-DB.

53. “Releases” means the releases and waiver set forth in Section XIII of this

Agreement.

54. “Released Claims” means the claims described in Section XIII of this Agreement.

55. “Released Parties” means Defendant, and its present and former parents, subsidiaries, divisions, departments, affiliates, predecessors, successors and assigns, and any and all of its past, present, and future directors, officers, executives, officials, principals, stockholders, heirs, agents, insurers, reinsurers, members, attorneys, accountants, actuaries, fiduciaries, advisors, consultants, representatives, partners, joint venturers, licensees, licensors, independent contractors, subrogees, trustees, executors, administrators, clients, customers, data owners, associated third parties, predecessors, successors and assigns, and any other person acting on Defendant’s behalf and/or in their capacity as such. It is understood that to the extent a Released Party is not a party to the Agreement, all such Released Parties are intended third-party beneficiaries of the Agreement.

56. “Releasing Parties” means (i) Plaintiffs and all Settlement Class Members, (ii) each of their respective executors, representatives, heirs, predecessors, assigns, beneficiaries, affiliates, successors, bankruptcy trustees, guardians, joint tenants, tenants in common, tenants by the entirety, agents, attorneys, (iii) any entities in which a Plaintiff and/or other participating Settlement Class Member has or had a controlling interest or that has or had a controlling interest in him or her, (iv) any other person or entity (including any governmental entity) claiming by or through, on behalf of, for the benefit of, derivatively for, or as representative of a Plaintiff and/or any other Settlement Class Member, and all those who claim through them or on their behalf, and (v) the respective past and present directors, governors, executive-committee members, officers, officials, employees, members, partners, principals, agents, attorneys, advisors, trustees, administrators, fiduciaries, consultants, service providers, representatives, successors in interest,

assigns, beneficiaries, heirs, executors, accountants, accounting advisors, and auditors of any or all of the above persons or entities identified in (i)-(iv).

57. “Service Awards” means the payment the Court may award the Plaintiffs for serving as Class Representatives.

58. “Settlement Administrator” means Kroll Settlement Administration LLC or “Kroll”.

59. “Settlement Administration Costs” means all costs and fees of the Settlement Administrator regarding Notice and settlement administration.

60. “Settlement Class” means all persons in the United States whose Private Information was potentially accessible as a result of the Data Incident, including those who were sent notification from Defendant that their Private Information was potentially accessible as a result of the Data Incident. Excluded from the Settlement Class are (a) all persons who are governing board members of the Defendant; (b) governmental entities; and (c) the Court, the Court’s immediate family, and Court staff.

61. “Settlement Class Member” means any member of the Settlement Class who has not opted-out of the Settlement.

62. “Settlement Class Member Benefit” means the Cash Payment and, if applicable, Credit Monitoring, elected by Settlement Class Members.

63. “Settlement Fund” means the non-reversionary \$400,000.00 cash fund that Defendant agreed to pay or cause to be paid under the terms of this Settlement.

64. “Settlement Website” means the website the Settlement Administrator will establish as a means for the Settlement Class members to submit Claim Forms and obtain notice and information about the Settlement, including hyperlinked access to this Agreement, the Preliminary

Approval Order, Long Form Notice, Claim Form, Motion for Final Approval, Application for Attorneys' Fees, Costs and Service Awards, and Final Approval Order, as well as other documents as the Parties agree to post or the Court orders posted. The Settlement Website shall remain online and operable for six months after Final Approval.

65. "Valid Claim" means a Claim Form submitted by a Settlement Class Member that is: (a) submitted in accordance with the provisions of this Settlement; (b) accurately, fully, and truthfully completed and executed, with all of the information requested in the Claim Form, by a Settlement Class Member; (c) signed physically or by e-signature by a Settlement Class Member personally, subject to the penalty of perjury; (d) returned via mail and postmarked by the Claim Form Deadline, or, if submitted online, submitted by 11:59 p.m. Eastern time on the Claim Form Deadline; and (e) determined to be valid by the Settlement Administrator. The Settlement Administrator may require additional information from the Claimant to validate the Claim, including, but not limited to, answers related to questions regarding the validity or legitimacy of the physical or e-signature. Failure to respond to the Settlement Administrator's Notice of Deficiency may result in a determination that the Claim is not a Valid Claim.

III. Settlement Fund

66. Defendant agrees to make a payment of, and deposit that payment into, the Settlement Fund as follows: (a) Within 30 days of the Court granting Preliminary Approval of the Settlement and receipt of an invoice from the Settlement Administrator, Defendant shall pay all costs associated with notifying the Settlement Class in an amount invoiced by the Settlement Administrator (said amount being part of and not in addition to the Settlement Fund); (b) within 25 days of the Effective Date, Defendant shall pay into the Escrow Account the remaining portion of the Settlement Fund; and (c) within 30 days of the Effective Date, the Settlement Administrator

shall pay to Class Counsel any attorneys' fees, costs, expenses, and service award pursuant. The Settlement Administrator shall use this balance to pay for Costs of Settlement Administration. For the avoidance of doubt, and for purposes of this Settlement Agreement only, Defendant's liability shall not exceed \$400,000.00.

67. The Settlement Fund shall be used to pay: (1) Settlement Class Member Benefits to those Settlement Class Members who submit a Valid Claim; (2) any Service Awards awarded to Class Representatives; (3) any attorneys' fees and costs awarded to Class Counsel; and (4) all Settlement Administration Costs.

68. The funds in the Escrow Account shall be deemed a "qualified settlement fund" within the meaning of United States Treasury Reg. § 1.468B-1 at all times since creation of the Escrow Account. All taxes (including any estimated taxes, and any interest or penalties relating to them) arising with respect to the income earned by the Escrow Account or otherwise, including any taxes or tax detriments that may be imposed on Defendant, Defendant's Counsel, Plaintiffs, and/or Class Counsel with respect to income earned by the Escrow Account, for any period during which the Escrow Account does not qualify as a "qualified settlement fund" for the purpose of federal or state income taxes or otherwise, shall be paid out of the Escrow Account. Defendant, Defendant's Counsel, Plaintiffs, and Class Counsel shall have no liability or responsibility for any of the taxes. The Escrow Account shall indemnify and hold Defendant, Defendant's Counsel, Plaintiffs, and Class Counsel harmless for all taxes (including, without limitation, taxes payable by reason of any such indemnification).

IV. Certification of the Settlement Class

69. Plaintiffs shall propose and recommend to the Court that the Settlement Class be certified for Settlement purposes only. Defendant agrees solely for purposes of the Settlement

provided for in this Agreement, and the implementation of such Settlement, that this Action shall proceed as a settlement class action; provided however, that if a Final Approval Order is not issued, then any certification shall be null and void and, for the avoidance of doubt, Defendant shall retain all rights to object to any future requests to certify a class. Plaintiffs and Class Counsel shall not reference this Agreement or any negotiations leading to this Agreement in support of any subsequent motion for class certification of any class in the Action.

V. Settlement Consideration

70. When submitting a Claim for a Cash Payment, Settlement Class Members must choose either Cash Payment A or Cash Payment B. Additionally, Settlement Class Members may elect to receive Credit Monitoring. If a Settlement Class Member does not submit a Valid Claim or opt-out, the Settlement Class Member will release his or her claims against Defendant without receiving a Settlement Class Member Benefit.

a. Cash Payment A –Documented Losses

Settlement Class Members may submit a claim for a Cash Payment under this section for up to \$5,000.00 per Settlement Class Member upon presentment of documented losses related to the Data Security Incident, subject to *pro rata* adjustments. To receive a documented loss payment, a Settlement Class Member must elect Cash Payment A on the Claim Form attesting under penalty of perjury to incurring documenting losses. Settlement Class Members will be required to submit reasonable documentation supporting the losses. Settlement Class Members shall not be reimbursed for expenses if they have been reimbursed for the same expenses by another source, including compensation provided in connection with the credit monitoring and identity theft protection product offered as part of the notification letter provided by Defendant or otherwise. If a Settlement Class Member does not submit reasonable documentation supporting a loss, or if their

Claim is rejected by the Settlement Administrator for any reason, and the Settlement Class Member fails to cure his or her Claim, the Claim will be rejected and the Settlement Class Member's Claim will not receive a Cash Payment.

b. Cash Payment B – Flat Cash Payment

As an alternative to Cash Payment A above, a Settlement Class Member may elect to receive Cash Payment B, which is a flat cash payment in the amount of \$100.00, subject to *pro rata* adjustments.

c. Credit Monitoring

In addition to electing a Cash Payment, Settlement Class Members may elect one year of three-bureau Credit Monitoring that will provide the following benefits: three-bureau credit monitoring, dark web monitoring, identity theft insurance coverage for up to \$1,000,000, and fully managed identity recovery services.

71. ***Pro Rata Adjustments on Cash Payments*** - Cash Payments to Settlement Class Members will be subject to a *pro rata* increase from the Settlement Fund in the event the amount of Valid Claims is insufficient to exhaust the entire Settlement Fund. Similarly, in the event the amount of Valid Claims exhausts the amount of the Settlement Fund, the amount of the Cash Payments may be reduced *pro rata* accordingly. For purposes of calculating the *pro rata* increase or decrease, the Settlement Administrator must distribute the funds in the Settlement Fund first for payment of Credit Monitoring and then for Cash Payment A, and finally for Cash Payment B. Any *pro rata* increases or decreases to Cash Payments will be on an equal percentage basis. In the unexpected event the value of Credit Monitoring and/or Cash Payment A on its own exhausts the amount of the Settlement Fund, the length of the Credit Monitoring provided will be reduced as necessary to bring the cost within the Settlement Fund.

72. **Business Practice Changes** - Plaintiffs have received assurances that Defendant has undertaken reasonable steps to further secure their systems and environments. Defendant has provided confidential discovery regarding the facts and circumstances of the Data Incident and Defendant's response thereto, and the changes and improvements that have been and/or will be made to protect class members' Private Information. Defendant will provide a sworn declaration attesting to the amount of money spent on remediation efforts and security enhancements.

VI. Settlement Approval

73. Upon execution of this Agreement by all Parties and Class Counsel, and after review by Defendant, Class Counsel shall file a Motion for Preliminary Approval. The proposed Preliminary Approval Order shall be attached to the motion as an exhibit.

74. The Motion for Preliminary Approval shall, among other things, request the Court: (1) preliminarily approve the terms of this Settlement as being within the range of fair, adequate, and reasonable; (2) provisionally certify the Settlement Class for settlement purposes only; (3) approve the Notice Program set forth herein and approve the form and content of the Notices of the Settlement; (4) approve the Claim Form and Claim process; (5) approve the procedures for individuals in the Settlement Class to opt-out of or object to this Settlement; (6) stay the Action and Related Actions pending Final Approval of the Settlement; and (7) schedule a Final Approval Hearing for a time and date mutually convenient for the Court, Class Counsel, and Defendant's Counsel.

VII. Settlement Administrator

75. The Parties agree that, subject to Court approval, Kroll shall be the Settlement Administrator. The Parties shall jointly oversee the Settlement Administrator. The Settlement Administrator shall fulfill the requirements set forth in the Preliminary Approval Order and the

Agreement and comply with all applicable laws, including, but not limited to, the Due Process Clause of the United States Constitution.

76. The Settlement Administrator shall administer various aspects of this Settlement as described in the next paragraph and perform such other functions as are specified for the Settlement Administrator elsewhere in this Agreement, including, but not limited to, effectuating the Notice Program, handling the Claims process, administering the Settlement Fund, and distributing the Cash Payments to Settlement Class Members who submit Valid Claims.

77. The Settlement Administrator's duties include to:

a. Complete the Court-approved Notice Program by noticing the Settlement Class by Postcard Notice, sending Long Form Notices and paper Claim Forms on request from individuals in the Settlement Class, reviewing Claim Forms, notifying Claimants of deficient Claim Forms using the Notice of Deficiency, and sending Settlement Class Member Benefits to Settlement Class Members who submit a Valid Claim;

b. Establish and maintain the Settlement Fund in the Escrow Account approved by the Parties;

c. Establish and maintain a post office box to receive opt-out requests from the Settlement Class and objections from Settlement Class Members, and Claim Forms;

d. Establish and maintain the Settlement Website to provide important information about this Settlement and electronic submission of Claim Forms;

e. Establish and maintain an automated toll-free telephone line for the Settlement Class to call with Settlement-related inquiries, and answer frequently asked questions of individuals in the Settlement Class who call with or otherwise communicate such inquiries;

f. Respond to any mailed Settlement Class member inquiries;

- g. Process all opt-out requests from the Settlement Class;
- h. Provide weekly reports to Class Counsel and Defendant's Counsel that summarize the number of Claims submitted, Claims approved and rejected, Notices of Deficiency sent, opt-out requests and objections received that week, the total number of opt-out requests and objections received to date, and other pertinent information;
- i. In advance of the Final Approval Hearing, prepare a declaration confirming the Notice Program was completed in accordance with the terms of this Agreement and the Preliminary Approval Order, describing how the Notice Program was completed, indicating the number of Claim Forms received, providing the names of each individual in the Settlement Class who timely and properly requested to opt-out from the Settlement Class, indicating the number of objections received, and other information as may be necessary to allow the Parties to seek and obtain Final Approval;
- j. Distribute, out of the Settlement Fund, Cash Payments by electronic means, unless a specific request is made for an alternate form of payment;
- k. Send Settlement Class Members who elect Credit Monitoring emails instructing how to activate their Credit Monitoring service;
- l. Pay Court-approved attorneys' fees and costs, and Service Awards out of the Settlement Fund;
- m. Pay Settlement Administration Costs out of the Settlement Fund following approval by Class Counsel;
- n. Make Spanish translated notice forms available on the settlement website;
and
- o. Any other Settlement Administration function at the instruction of Class

Counsel and Defendant's Counsel, including, but not limited to, verifying that the Settlement Fund has been properly administered and that the Cash Payments have been properly distributed.

78. The Notice Program and Notices will be reviewed and approved by the Settlement Administrator, but may be revised as agreed upon by the Parties prior to submission to the Court for approval. Immaterial revisions to the Notices may also be made prior to dissemination of Notice.

VIII. Notice to the Settlement Class

79. Defendant will coordinate to make available to the Settlement Administrator the Class List no later than 5 days after entry of the Preliminary Approval Order.

80. Within 30 days following entry of the Preliminary Approval Order, the Settlement Administrator shall commence the Notice Program provided herein, using the forms of Notice approved by the Court.

81. The Postcard Notice shall include, among other information: a description of the material terms of this Settlement; how to submit a Claim Form; the Claim Form Deadline; the last day of the Opt-Out Period for individuals in the Settlement Class to opt-out of the Settlement Class; the last day of the Objection Period for Settlement Class Members to object to the Settlement and/or Application for Attorneys' Fees, Costs and Service Awards; the Final Approval Hearing date; and the Settlement Website address at which Settlement Class members may access this Agreement and other related documents and information. Class Counsel and Defendant's Counsel shall insert the correct dates and deadlines in the Notice before the Notice Program commences, based upon those dates and deadlines set by the Court in the Preliminary Approval Order. If the date or time for the Final Approval Hearing changes, the Settlement Administrator shall update the Settlement Website to reflect the new date. No additional notice to the Settlement Class is required

if the date or time for the Final Approval Hearing changes.

82. The Settlement Administrator shall establish the Settlement Website no later than the day before Notice is first initiated. The Settlement Administrator shall ensure the Settlement Website makes available the Court-approved online Claim Form that can be submitted directly on the Settlement Website or in printable version that can be sent by U.S. Mail to the Settlement Administrator.

83. The Long Form Notice shall also include a procedure for individuals in the Settlement Class to opt-out of the Settlement; and the Postcard Notice shall direct individuals in the Settlement Class to review the Long Form Notice to obtain the opt-out instructions. Individuals in the Settlement Class may opt-out of the Settlement Class at any time during the Opt-Out Period by mailing a request to opt-out to the Settlement Administrator postmarked no later than the last day of the Opt-Out Period. The opt-out request must be personally signed by the Settlement Class member and contain the name, address, telephone number, and email address (if any), and include a statement indicating a request to be excluded from the Settlement Class. Any individual in the Settlement Class who does not timely and validly request to opt out shall be bound by the terms of this Agreement even if he or she does not submit a Valid Claim.

84. The Long Form Notice shall also include a procedure for the Settlement Class to object to the Settlement and/or Application for Attorneys' Fees, Costs, and Service Awards. The Postcard Notice shall direct the Settlement Class to review the Long Form Notice to obtain the objection instructions. Objections must be mailed to the Clerk of the Court, Class Counsel, Defendant's Counsel, and the Settlement Administrator. For an objection to be considered by the Court, the objection must be submitted no later than the last day of the Objection Period, as specified in the Notice. If submitted by mail, an objection shall be deemed to have been submitted

when posted if received with a postmark date indicated on the envelope if mailed first-class postage prepaid and addressed in accordance with the instructions. If submitted by private courier (e.g., Federal Express), an objection shall be deemed to have been submitted on the shipping date reflected on the shipping label.

85. For an objection to be considered by the Court, the objection must also set forth:

- a. the objector's full name, mailing address, telephone number, and email address (if any);
- b. all grounds for the objection, accompanied by any legal support for the objection known to the objector or objector's counsel;
- c. the number of times the objector has objected to a class action settlement within the 5 years preceding the date that the objector files the objection, the caption of each case in which the objector has made such objection, and a copy of any orders related to or ruling upon the objector's prior objections that were issued by the trial and appellate courts in each listed case;
- d. the identity of all counsel who represent the objector, including any former or current counsel who may be entitled to compensation for any reason related to the objection to the Settlement and/or Application for Attorneys' Fees, Costs, and Service Awards;
- e. the number of times in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the 5 years preceding the date of the filed objection, the caption of each case in which counsel or the firm has made such objection and a copy of any orders related to or ruling upon counsel's or the counsel's law firm's prior objections that were issued by the trial and appellate courts in each listed case in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the preceding 5 years;
- f. any and all agreements that relate to the objection or the process of

objecting—whether written or oral—between objector or objector’s counsel and any other person or entity;

g. the identity of all counsel (if any) representing the objector who will appear at the Final Approval Hearing;

h. a list of all persons who will be called to testify at the Final Approval Hearing in support of the objection (if any);

i. a statement confirming whether the objector intends to personally appear and/or testify at the Final Approval Hearing; and

j. the objector’s signature (an attorney’s signature is not sufficient).

Class Counsel and/or Defendant’s Counsel may conduct limited discovery on any objector or objector’s counsel.

86. The Settlement Administrator shall perform reasonable address traces for Postcard Notices that are returned as undeliverable. By way of example, a reasonable tracing procedure would be to run addresses of returned postcards through the Lexis/Nexis database that can be utilized for such purpose. No later than 60 days before the original date set for the Final Approval Hearing, the Settlement Administrator shall complete the re-mailing of Postcard Notice to those Settlement Class members whose new addresses were identified as of that time through address traces.

87. The Notice Program shall be completed no later than 60 days before the original date set for the Final Approval Hearing.

IX. Claim Form Process and Disbursement of Cash Payments

88. The Notice will explain to the Settlement Class that they may be entitled to a Settlement Class Member Benefit and how to submit a Claim Form.

89. Claim Forms may be submitted online through the Settlement Website or through U.S. Mail by sending them to the Settlement Administrator at the address designated on the Claim Form.

90. The Settlement Administrator shall collect, review, and address each Claim Form received to determine whether the Claim Form meets the requirements set forth in this Settlement and is thus a Valid Claim. The Settlement Administrator shall examine the Claim Form before designating the Claim as a Valid Claim to determine that the information on the Claim Form is reasonably complete. The Settlement Administrator shall have the sole authority to determine whether a Claim by any Claimant is a Valid Claim.

91. The Settlement Administrator shall use all reasonable efforts and means to identify and reject duplicate claims. No Settlement Class Member may submit more than one Claim Form. The Settlement Administrator shall identify any Claim Forms that appear to seek relief on behalf of the same Settlement Class Member. The Settlement Administrator shall use its best efforts to determine whether there is any duplication of claims, and if there is, contact the Settlement Class Member in an effort to determine which Claim Form is the appropriate one for consideration.

92. The Settlement Administrator shall exercise, in its discretion, all usual and customary steps to prevent fraud and abuse and take any reasonable steps to prevent fraud and abuse in the Claim process. The Settlement Administrator may, in its discretion, deny in whole or in part any Claim Form to prevent actual or possible fraud or abuse. By agreement, the Parties can instruct the Settlement Administrator to take whatever steps it deems appropriate if the Settlement Administrator identifies actual or possible fraud or abuse relating to the submission of claims, including, but not limited to, denying in whole or in part any Claim to prevent actual or possible fraud or abuse. If any fraud is detected or reasonably suspected, the Settlement Administrator and

Parties may require information from Claimants or deny Claims, subject to the supervision of the Parties and ultimate oversight by the Court.

93. Claim Forms that do not meet the terms and conditions of this Settlement shall be promptly rejected by the Settlement Administrator and the Settlement Administrator shall advise the Claimant or Settlement Class Member of the reason(s) why the Claim Form was rejected. However, if the Claim Form is rejected for containing incomplete or inaccurate information, and/or omitting required information, the Settlement Administrator may send a Notice of Deficiency explaining what information is missing or inaccurate and needed to validate the Claim and have it submitted for consideration. The Settlement Administrator shall notify the Claimant using the contact information provided in the Claim Form. The additional information and/or documentation can include, for example, answers to questions regarding the validity of the Claimant's physical or e-signature. A Claimant shall have until the Claim Form Deadline, or 15 days from the date the Notice of Deficiency is sent to the Claimant via mail and postmarked or via email, whichever is later, to reply to the Notice of Deficiency and provide the required information. If the Claimant timely and adequately provides the requested information and/or documentation, the Claim shall be deemed a Valid Claim and processed by the Settlement Administrator. If the Claimant does not timely and completely provide the requested information and/or documentation, the Settlement Administrator shall reduce or deny the Claim unless Defendant and Class Counsel otherwise agree.

94. Where a good faith basis exists, the Settlement Administrator may reduce or reject a Claim for, among other reasons, the following:

- a. Failure to fully complete and/or sign the Claim Form;
- b. Illegible Claim Form;
- c. The Claim Form is fraudulent;

- d. The Claim Form is duplicative of another Claim Form;
- e. The Claimant is not a Settlement Class Member;
- f. The Claimant submitted a timely and valid request to opt-out of the Settlement Class.
- g. The person submitting the Claim Form requests that payment be made to a person or entity other than the Claimant for whom the Claim Form is submitted;
- h. Failure to submit a Claim Form by the Claim Form Deadline; and/or
- i. The Claim Form otherwise does not comply with the requirements of this Settlement.

95. The Settlement Administrator's reduction or denial of a Claim is final, subject to the following dispute resolution procedures:

- a. The Settlement Administrator shall have 30 days from the Claim Form Deadline to approve or reject Claims based on findings of fraud or duplication.
- b. A request for additional information by sending a Notice of Deficiency shall not be considered a denial for purposes of this paragraph.
- c. If a Claim is rejected for fraud or duplication, the Settlement Administrator shall notify the Claimant using the contact information provided in the Claim Form. Class Counsel and Defendant's Counsel shall be provided with copies of all such notifications to Claimants.
- d. The Settlement Administrator's determination as to whether to approve, deny, or reduce a Claim shall be final and binding.

96. The Settlement Administrator shall provide all information gathered in investigating Claims, including, but not limited to, copies of all correspondence and email and all notes of the Settlement Administrator, the decision reached, and all reasons supporting the

decision, if requested by Class Counsel or Defendant's Counsel. Additionally, Class Counsel and Defendant's Counsel shall have the right to inspect the Claim Forms and supporting documentation received by the Settlement Administrator at any time upon reasonable notice.

97. No person or entity shall have any claim against Defendant, Defendant's Counsel, Plaintiffs, the Settlement Class, Class Counsel, and/or the Settlement Administrator based on any eligibility determinations, distributions, or awards made in accordance with this Settlement.

98. The Settlement Administrator shall distribute the Settlement Class Member Benefits no later than 30 days after the Effective Date.

99. Cash Payments to Settlement Class Members will be made by electronic payment or by paper check. Settlement Class Members with Valid Claims shall receive an email instructing them to select the type of payment they wish to receive. Upon issuance of the email, Settlement Class Members shall have 30 days to select their method of payment. Settlement Class Members who do not open their email or provide incorrect or incomplete electronic payment information shall receive a paper check in the mail. Settlement Class Members receiving payment by check shall have 180 days to negotiate the check.

100. The Settlement Administrator will send an email to Settlement Class Members with Valid Claims that elected Credit Monitoring with information on how to enroll in the program, including the activation code.

X. Final Approval Order and Final Judgment

101. Plaintiffs shall file their Motion for Final Approval, inclusive of the Application for Attorneys' Fees, Costs, and Service Awards, no later than 45 days before the original date set for the Final Approval Hearing. At the Final Approval Hearing, the Court will hear argument on Plaintiffs' Motion for Final Approval of the Settlement and Application for Attorneys' Fees, Costs,

and Service Awards. In the Court's discretion, the Court also will hear argument at the Final Approval Hearing from any Settlement Class Members (or their counsel) who object to the Settlement and/or to the Application for Attorneys' Fees, Costs, and Service Awards, provided the objectors submitted timely objections that meet all of the requirements listed in the Agreement.

102. At or following the Final Approval Hearing, the Court will determine whether to enter the Final Approval Order and final judgment thereon, and whether to grant the Application for Attorneys' Fees, Costs, and Service Awards. Such proposed Final Approval Order shall, among other things:

- a. Determine that the Settlement is fair, adequate, and reasonable;
- b. Finally certify the Settlement Class for settlement purposes only;
- c. Determine that the Notice Program satisfies Due Process requirements;
- d. Bar and enjoin all Releasing Parties from asserting any of the Released Claims at any time and in any jurisdiction, including during any appeal from the Final Approval Order; bar and enjoin all Releasing Parties from pursuing any Released Claims against Released Parties at any time and in any jurisdiction, including during any appeal from the Final Approval Order; and retain jurisdiction over the enforcement of the Court's injunctions;
- e. Release Defendant and the Released Parties from the Released Claims; and
- f. Reserve the Court's continuing and exclusive jurisdiction over the Parties to this Agreement, including Defendant, Plaintiffs, all Settlement Class Members, and all objectors, to administer, supervise, construe, and enforce this Agreement in accordance with its terms.

XI. Service Awards, Attorneys' Fees, and Costs

103. **Service Awards** – In recognition of the time and effort the Class Representatives

expended in pursuing this Action and in fulfilling their obligations and responsibilities as Class Representatives, and of the relief conferred on all Settlement Class Members by this Settlement, Class Counsel shall request Service Awards for the Class Representatives in the amount not to exceed \$2,000.00 each. If approved, the Service Awards shall be paid by the Effective Date by the Settlement Administrator out of the Settlement Fund. The Service Award payments to the Class Representatives shall be separate and apart from their entitlement to benefits from the Settlement Fund.

104. **Attorneys' Fees and Costs** - Class Counsel shall apply to the Court for an award of attorneys' fees of up to 25% of the Settlement Fund, plus reimbursement of costs. The attorneys' fees and cost awards approved by the Court shall be paid within 30 days of the Effective Date by the Settlement Administrator out of the Settlement Fund by wire transfer to an account designated by Class Counsel.

105. This Settlement is not contingent on approval of the request for attorneys' fees and costs or Service Awards, and if the Court denies the request or grants amounts other than what was requested, the remaining provisions of the Agreement shall remain in force. The provisions for attorneys' fees and costs and the Service Awards were not negotiated until after all material terms of the Settlement.

XII. Disposition of Residual Funds

106. The Settlement is designed to exhaust the Settlement Fund. In the event there are funds remaining from uncashed checks in the Settlement Fund after the 180-day check negotiation period, all remaining funds shall be distributed to an appropriate and mutually agreeable *cy pres* recipient to be approved by the Court. The *cy pres* distribution payment shall be made by the Settlement Administrator within 20 days of the expiration of the check negotiation period or within

20 days after any order approving a *cy pres* distribution.

XIII. Releases

107. As of the Effective Date, the Releasing Parties shall automatically be deemed to have fully, finally, and irrevocably released and forever discharged the Released Parties of, and shall be forever barred from instituting, maintaining, or prosecuting, any and all liabilities, rights, claims, actions, causes of action, demands, damages, costs, attorneys' fees, losses and remedies, whether known or unknown, asserted or unasserted, existing or potential, suspected or unsuspected, liquidated or unliquidated, legal, statutory, or equitable, based on contract, tort or any other theory, whether on behalf of themselves or others, that result from, arise out of, are based upon, or relate to (a) the Data Incident; (b) the Action; or (c) any of the alleged violations of laws or regulations cited in the Complaint.

108. Plaintiffs and Settlement Class Members covenant and agree they will not take any step whatsoever to assert, sue on, continue, pursue, maintain, prosecute, or enforce any Released Claim, directly or indirectly, whether on behalf of themselves or others, against any of the Released Parties in any jurisdiction.

109. Individuals in the Settlement Class who opt-out of the Settlement prior to the Opt-Out Deadline do not release their individual claims and will not obtain any benefits under this Settlement.

110. With respect to the Released Claims, Plaintiffs and Settlement Class Members understand and acknowledge it is possible that unknown economic losses or claims exist or that present losses may have been underestimated in amount or severity. Plaintiffs and Settlement Class Members took that into account in entering into this Agreement, and a portion of the consideration and the mutual covenants contained herein, having been bargained for between Plaintiffs and

Defendant with the knowledge of the possibility of such unknown claims for economic loss, were given in exchange for a full accord, satisfaction, and discharge of all such claims. With respect to Plaintiffs' Released Claims, Plaintiffs shall be deemed to have, and by operation of this Settlement shall have, waived and relinquished, to the fullest extent permitted by law, the provisions, rights and benefits of Section 1542 of the California Civil Code (to the extent it is applicable, or any other similar provision under federal, state or local law to the extent any such provision is applicable), which reads:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

111. Plaintiffs or Settlement Class Members may hereafter discover facts other than or different from those that he or she knows or believes to be true with respect to the subject matter of the claims released herein, or the law applicable to such claims may change. Nonetheless, each of those individuals agrees that, as of the Effective Date, he or she shall have automatically and irrevocably waived and fully, finally, and forever settled and released any known or unknown, suspected or unsuspected, asserted or unasserted, liquidated or unliquidated, contingent or non-contingent claims with respect to all of the matters described in or subsumed by this Agreement. Further, each of those individuals agrees and acknowledges that he or she shall be bound by this Agreement, including by the release herein and that all of their claims in the Action shall be dismissed with prejudice and released, whether or not such claims are concealed or hidden; without regard to subsequent discovery of different or additional facts and subsequent changes in the law; and even if he or she never receives actual notice of the Settlement and/or never receives a Cash Payment from the Settlement.

112. Upon the Effective Date: (a) this Settlement shall be the exclusive remedy for any and all Released Claims of Plaintiffs and Settlement Class Members; and (b) Plaintiffs and Settlement Class Members stipulate to be and shall be permanently barred and enjoined by Court order from initiating, asserting, or prosecuting any Released Claim against the Released Parties, whether on behalf of Plaintiffs, any Settlement Class Member or others, in any jurisdiction, including in any federal, state, or local court or tribunal.

XIV. Termination of Settlement

113. This Agreement shall be subject to and is conditioned on the occurrence of all of the following events:

- a. Court approval of the Settlement consideration set forth in Section V and the Releases set forth in Section XIII of this Agreement;
- b. The Court has entered the Preliminary Approval Order;
- c. The Court has entered the Final Approval Order, and all objections, if any, are overruled, and all appeals taken from the Final Approval Order are resolved in favor of Final Approval; and
- d. The Effective Date has occurred.

114. If any of the conditions specified in the preceding paragraph are not met, then this Agreement may be cancelled and terminated.

115. Defendant shall have the option to terminate this Agreement if more than 15 Settlement Class members opt-out of the Settlement. Defendant shall notify Class Counsel and the Court of its or their intent to terminate this Agreement pursuant to this paragraph within 10 days after the end of the Opt-Out Period, or the option to terminate shall be considered waived.

116. In the event this Agreement is terminated or fails to become effective, then the

Parties shall return to the *status quo ante* in the Action as if the Parties had not entered into this Agreement, and the parties shall jointly file a status report in the Court seeking to reopen the Action and all papers filed. In such event, the terms and provisions of this Agreement shall have no further force and effect with respect to the Parties and shall not be used in this case or in any other action or proceeding for any other purpose, and any order entered by this Court in accordance with the terms of this Agreement shall be treated as vacated, *nunc pro tunc*.

117. In the event this Agreement is terminated or fails to become effective, all funds in the Settlement Fund shall be promptly returned to Defendant. However, Defendant shall have no right to seek from Plaintiffs, Class Counsel, or the Settlement Administrator the Settlement Administration Costs paid by or on behalf of Defendant. After payment of any Settlement Administration Costs that have been incurred and are due to be paid from the Settlement Fund, the Settlement Administrator shall return the balance of the Settlement Fund to Defendant within 20 days of termination.

XV. Effect of Termination

118. The grounds upon which this Agreement may be terminated are set forth in Section XIV. In the event of a termination, this Agreement shall be considered null and void; all of Plaintiffs', Class Counsel's, Defendant's, and Defendant's Counsel's obligations under this Settlement shall cease to be of any force and effect; and the Parties shall return to the *status quo ante* in the Action as if the Parties had not entered into this Agreement. In addition, in the event of such a termination, all of the Parties' respective pre-Settlement rights, claims, and defenses will be retained and preserved.

119. In the event the Settlement is terminated in accordance with the provisions of this Agreement, any discussions, offers, or negotiations associated with this Settlement shall not be

discoverable or offered into evidence or used in the Action or any other action or proceeding for any purpose. In such event, all Parties to the Action shall stand in the same position as if this Agreement had not been negotiated, made, or filed with the Court.

XVI. No Admission of Liability

120. This Agreement reflects the Parties' compromise and settlement of disputed claims. This Agreement shall not be construed as or deemed to be evidence of an admission or concession of any point of fact or law. Defendant has denied and continues to deny each of the claims and contentions alleged in the Complaint. Defendant specifically denies that a class could or should be certified in the Action for litigation purposes. Defendant does not admit any liability or wrongdoing of any kind, by this Agreement or otherwise. Defendant has agreed to enter into this Agreement to avoid the further expense, inconvenience, and distraction of burdensome and protracted litigation, and to be completely free of any further claims that were asserted or could possibly have been asserted in the Action.

121. Class Counsel believe the claims asserted in the Action have merit, and they have examined and considered the benefits to be obtained under the proposed Settlement set forth in this Agreement, the risks associated with the continued prosecution of this complex, costly, and time-consuming litigation, and the likelihood of success on the merits of the Action. Class Counsel fully investigated the facts and law relevant to the merits of the claims, conducted informal discovery, and conducted independent investigation of the alleged claims. Class Counsel concluded that the proposed Settlement set forth in this Agreement is fair, adequate, reasonable, and in the best interests of the Settlement Class.

122. This Agreement constitutes a compromise and settlement of disputed claims. No action taken by the Parties in connection with the negotiations of this Agreement shall be deemed

or construed to be an admission of the truth or falsity of any claims or defenses heretofore made, or an acknowledgment or admission by any party of any fault, liability, or wrongdoing of any kind whatsoever.

123. Neither the Settlement, nor any act performed or document executed pursuant to or in furtherance of the Settlement: (a) is or may be deemed to be, or may be used as, an admission of, or evidence of, the validity of any claim made by the Plaintiffs or the Settlement Class, or of any wrongdoing or liability of the Released Parties; or (b) is or may be deemed to be, or may be used as, an admission of, or evidence of, any fault or omission of any of the Released Parties, in the Action or in any proceeding in any court, administrative agency, or other tribunal.

124. In addition to any other defenses Defendant may have at law, in equity, or otherwise, to the extent permitted by law, this Agreement may be pleaded as a full and complete defense to and may be used as the basis for an injunction against any action, suit, or other proceeding that may be instituted, prosecuted, or attempted in breach of this Agreement or the Releases contained herein.

XVII. Miscellaneous Provisions

125. Gender and Plurals. As used in this Agreement, the masculine or feminine gender, and the singular or plural number, shall each be deemed to include the others whenever the context so indicates.

126. Binding Effect. This Agreement shall be binding upon, and inure to and for the benefit of, the successors and assigns of the Releasing Parties and the Released Parties.

127. Cooperation of Parties. The Parties to this Agreement agree to cooperate in good faith to prepare and execute all documents, seek Court approval, uphold Court approval, and do all things reasonably necessary to complete and effectuate the Settlement described in this

Agreement.

128. Obligation to Meet and Confer. Before filing any motion in the Court raising a dispute arising out of or related to this Agreement, the Parties shall consult with each other and certify to the Court that they have met and conferred in an attempt to resolve the dispute.

129. Integration and No Reliance. This Agreement constitutes a single, integrated written contract expressing the entire agreement of the Parties relative to the subject matter hereof. This Agreement is executed without reliance on any covenant, agreement, representation, or warranty by any Party or any Party's representative other than those set forth in this Agreement. No covenants, agreements, representations, or warranties of any kind have been made by any Party, except as provided for herein.

130. No Conflict Intended. Any inconsistency between the headings used in this Agreement and the text of the paragraphs of this Agreement shall be resolved in favor of the text.

131. Governing Law. Except as otherwise provided herein, the Agreement shall be construed in accordance with, and be governed by, the laws of the state of California, without regard to the principles thereof regarding choice of law.

132. Counterparts. This Agreement may be executed in any number of counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument, even though all Parties do not sign the same counterparts. Original signatures are not required.

133. Jurisdiction. The Court shall retain jurisdiction over the implementation, enforcement, and performance of this Agreement, and shall have exclusive jurisdiction over any suit, action, proceeding, or dispute arising out of or relating to this Agreement that cannot be resolved by negotiation and agreement by counsel for the Parties. The Court shall also retain

jurisdiction over all questions and/or disputes related to the Notice Program and the Settlement Administrator. As part of the agreement to render services in connection with this Settlement, the Settlement Administrator shall consent to the jurisdiction of the Court for this purpose. The Court shall retain jurisdiction over the enforcement of the Court's injunction barring and enjoining all Releasing Parties from asserting any of the Released Claims and from pursuing any Released Claims against the Released Parties at any time and in any jurisdiction, including during any appeal from the Final Approval Order.

134. Notices. All notices provided for herein shall be sent by email with a hard copy sent by overnight mail to:

If to Plaintiffs or Class Counsel:

Kristen Lake Cardoso
Kopelowitz Ostrow P.A.
One West Las Olas Blvd., Ste. 500
Fort Lauderdale, FL 33301
cardoso@kolawyers.com

Leanna A. Loginov
Shamis & Gentile, P.A.
14 NE 1st Ave., Ste. 705
Miami, FL 33132
lloginov@shamisgentile.com

Scott Edelsberg
Edelsberg Law, P.A.
1925 Century Park E., Ste. 1700
Los Angeles, CA 90067
scott@edelsberglaw.com

Cassandra P. Miller
Strauss Borrelli PLLC
980 N. Michigan Ave., Ste. 1610
Chicago, IL 60611
cmiller@straussborrelli.com

If to Defendant or Defendant's Counsel:

Sean P. Killeen
Baker Hostetler
600 Montgomery St., Suite 3100
San Francisco, CA 94111
skilleen@bakerlaw.com

The notice recipients and addresses designated above may be changed by written notice. Upon the request of any of the Parties, the Parties agree to promptly provide each other with copies of objections, requests for exclusion, or other filings received as a result of the Notice Program.

135. Modification and Amendment. This Agreement may not be amended or modified, except by a written instrument signed by Class Counsel and Defendant's Counsel and, if the Settlement has been approved preliminarily by the Court, approved by the Court.

136. No Waiver. The waiver by any Party of any breach of this Agreement by another Party shall not be deemed or construed as a waiver of any other breach, whether prior, subsequent, or contemporaneous, of this Agreement.

137. Authority. Class Counsel (for Plaintiffs and the Settlement Class), and Defendant's Counsel (for Defendant), represent and warrant that the persons signing this Agreement on their behalf have full power and authority to bind every person, partnership, corporation, or entity included within the definitions of Plaintiffs and Defendant to all terms of this Agreement. Any person executing this Agreement in a representative capacity represents and warrants that he or she is fully authorized to do so and to bind the Party on whose behalf he or she signs this Agreement to all of the terms and provisions of this Agreement.

138. Agreement Mutually Prepared. Neither Plaintiffs nor Defendant shall be considered to be the drafter of this Agreement or any of its provisions for the purpose of any statute, case law, or rule of interpretation or construction that would or might cause any provision to be construed against the drafter of this Agreement.

139. Independent Investigation and Decision to Settle. The Parties understand and acknowledge they: (a) have performed an independent investigation of the allegations of fact and law made in connection with this Action; and (b) that even if they may hereafter discover facts in addition to, or different from, those that they now know or believe to be true with respect to the subject matter of the Action as reflected in this Agreement, that will not affect or in any respect limit the binding nature of this Agreement. All Parties recognize and acknowledge they reviewed and analyzed data that they and their counsel, consultants, and/or experts used to make certain determinations, arguments, and settlement positions. The Parties agree this Settlement is fair, reasonable, and adequate, and will not attempt to renegotiate or otherwise void or invalidate or terminate the Settlement irrespective of what any unexamined data later shows. It is the Parties' intention to resolve their disputes in connection with this Action pursuant to the terms of this Agreement now and thus, in furtherance of their intentions, the Agreement shall remain in full force and effect notwithstanding the discovery of any additional facts or law, or changes in law, and this Agreement shall not be subject to rescission or modification by reason of any changes or differences in facts or law, subsequently occurring or otherwise.

140. Receipt of Advice of Counsel. Each Party acknowledges, agrees, and specifically warrants that he, she, or it has fully read this Agreement and the Releases contained herein, received independent legal advice with respect to the advisability of entering into this Agreement and the Releases, and the legal effects of this Agreement and the Releases, and fully understands the effect of this Agreement and the Releases.

Signature Page to Follow

PLAINTIFFS


Ganesh Sankar (Mar 3, 2025 14:54 EST)

GANESH SANKAR

Plaintiff

ERIKA TITUS-LAY

Plaintiff

JARED CAVANAUGH

Plaintiff

KIMBERLY VONGNALITH

Plaintiff

CLASS COUNSEL


Kristen Lake Cardoso (Mar 4, 2025 09:10 EST)


KRISTEN LAKE CARDOSO
KOPELOWITZ OSTROW P.A.

Leanna Loginov

LEANNA A. LOGINOV
SHAMIS & GENTILE, P.A.

Scott Edelsberg

SCOTT EDELSBERG
EDELSBERG LAW, P.A.



CASSANDRA P. MILLER
STRAUSS BORRELLI PLLC

CALIFORNIA NORTHSTATE
UNIVERSITY, LLC

By: _____
Its _____

PLAINTIFFS

GANESH SANKAR

Plaintiff



ERIKA TITUS-LAY

Plaintiff

JARED CAVANAUGH

Plaintiff

KIMBERLY VONGNALITH

Plaintiff

CLASS COUNSEL

KRISTEN LAKE CARDOSO

KOPELOWITZ OSTROW P.A.

LEANNA A. LOGINOV

SHAMIS & GENTILE, P.A.

SCOTT EDELSBERG

EDELSBERG LAW, P.A.

CASSANDRA P. MILLER

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GANESH SANKAR

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Plaintiff



JARED CAVANAUGH

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Its _____

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Plaintiff

JARED CAVANAUGH

Plaintiff

Kimberly Vongnalith

KIMBERLY VONGNALITH

Plaintiff

CLASS COUNSEL

KRISTEN LAKE CARDOSO

KOPELOWITZ OSTROW P.A.

LEANNA A. LOGINOV

SHAMIS & GENTILE, P.A.

SCOTT EDELSBERG

EDELSBERG LAW, P.A.

CASSANDRA P. MILLER

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Its _____

PLAINTIFFS

GANESH SANKAR
Plaintiff

ERIKA TITUS-LAY
Plaintiff

JARED CAVANAUGH
Plaintiff

KIMBERLY VONGNALITH
Plaintiff

CLASS COUNSEL

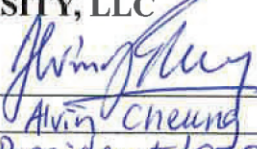
KRISTEN LAKE CARDOSO
KOPELOWITZ OSTROW P.A.

LEANNA A. LOGINOV
SHAMIS & GENTILE, P.A.

SCOTT EDELSBERG
EDELSBERG LAW, P.A.

CASSANDRA P. MILLER
STRAUSS BORRELLI PLLC

**CALIFORNIA NORTHSTATE
UNIVERSITY, LLC**

By: 
Its President/CEO

**CALIFORNIA NORTHSTATE
UNIVERSITY, LLC'S COUNSEL**

A handwritten signature in black ink, appearing to read "Casie R", is positioned above a horizontal line.

CASIE D. COLLIGNON
BAKER HOSTETLER

— EXHIBIT 1 —

<<Mailing Caption>>

c/o Kroll Settlement Administration LLC

Case 2:24-cv-00473-DAD-JDP

Document 42-1

Filed 03/04/25

Page 46 of 7

New York, NY 10150-XXXX

FIRST-CLASS MAIL
U.S. POSTAGE PAID
PERMIT NO. XXXX

ELECTRONIC SERVICE REQUESTED

COURT APPROVED LEGAL NOTICE

Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and
Kimberly Vongnalith v. California Northstate University,
LLC, Lead Case No. 2:24-cv-00473-DAD-JDP

*A Court has authorized this Notice. This is not a
solicitation from a lawyer.*

To all persons in the United States whose Private
Information was potentially accessible as a result of
the Data Incident, including those who were sent
notification from Defendant that their Private
Information was potentially accessible as a result of
the Data Incident, a proposed class action

Settlement may affect your rights

For more information on the proposed Settlement,
including how to submit a Claim, opt-out, or
submit an objection, please visit or call:

www.website.com

(XXX) XXX-XXXX

<<Refnum Barcode>>

CLASS MEMBER ID: <<Refnum>>

Postal Service: Please do not mark barcode

[FIRST NAME] [LAST NAME]
[COMPANY]
[ADDRESS 1]
[ADDRESS 2]
[CITY] [STATE] [ZIP][ZIP4]

A proposed Settlement has been reached in a class action lawsuit known as *Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC*, in the United States District Court for the Eastern District of California, Lead Case No. 2:24-cv-00473-DAD-JDP

ase:2-24-cv-00473-DAD-JDP Document 42-1 Filed 03/04/25 Page 47 of 77
Why am I receiving this Notice? You are receiving this Notice because the records of California Northstate University, LLC (the "University"), show your Private Information was potentially accessible as a result of the Data Incident that occurred on or about February 12, 2023. You are therefore a Settlement Class Member eligible to receive Settlement Class Member Benefits under this Settlement.

Who is a Settlement Class Member? You are included in this Settlement if you are a Settlement Class member. A Settlement Class member is a person in the United States whose Private Information was potentially accessible as a result of the Data Incident, including those who were sent notification from Defendant that their Private Information was potentially accessible as a result of the Data Incident.

What are the Settlement Class Member Benefits? You may be entitled to receive a Cash Payment. Settlement Class members who submit a Valid Claim may receive: (a) Cash Payment A – Documented Losses, up to **\$5,000** per Settlement Class Member, with supporting documentation, subject to *pro rata* adjustments; or (b) Cash Payment B – Flat Cash Payment – an estimated **\$100** cash payment, subject to *pro rata* adjustments; and, in addition to a Cash Payment (c) Credit Monitoring for one year of Credit Monitoring with three credit bureaus. Cash Payments to Settlement Class Members will be subject to a *pro rata* increase or decrease from the Settlement Fund, depending on the number and amount of Valid Claims. Please visit www.website.com for a full description of the Settlement Class Member Benefits and documentation requirements.

How do I Submit a Claim Form for benefits? You must submit a Claim Form online at www.website.com, or use the attached Claim Form to be eligible to receive a Settlement Class Member Benefit. You can only submit a Claim Form for Cash Payment A online. **To receive a Cash Payment from this Settlement via an electronic payment, you must file a Claim Form online** and include your email address. Your completed Claim Form must be submitted online or mailed to the Settlement Administrator at <[<Mailing_Caption>](mailto:Mailing_Caption)>, c/o Kroll Settlement Administration LLC, PO Box **XXXX**, New York, NY 10150-**XXXX** and postmarked, by **DATE**.

What are my other options? If you **Do Nothing**, you will be legally bound by the terms of the Settlement, and you will release your claims against Defendant and other Released Parties as defined in the Settlement Agreement. You may **Opt-Out** of or file an **Objection** to the Settlement by **DATE**. Please visit www.website.com for more information on how to opt-out or object to the Settlement.

Do I have a Lawyer in this Case? Yes, the Court appointed Kristen Lake Cardoso of Kopelowitz Ostrow P.A., Leanna A. Loginov of Shamis & Gentile, P.A., Scott Edelsberg of Edelsberg Law, P.A., and Cassandra P. Miller of Strauss Borrelli PLLC to represent you and other Settlement Class members. You will not be charged directly for these lawyers; instead, they will receive compensation from the Settlement Fund (subject to Court approval). If you want to be represented by your own lawyer, you may hire one at your own expense.

The Court's Final Approval Hearing. The Court is scheduled to hold a Final Approval Hearing on **DATE at TIME PT**, to consider whether to approve the Settlement, attorneys' fees and expenses not to exceed 25% of the Settlement Fund (approximately \$100,000, plus reimbursement of costs) for Class Counsel, and Service Awards of \$2,000 to each Class Representative. You may appear at the hearing, either yourself or through an attorney hired by you, but you don't have to.

This Notice is only a summary. For more information or to change your address, visit www.website.com or call toll-free **(XXX) XXX-XXXX**

Postage
Required

<<Mailing Caption>>

c/o Kroll Settlement Administration LLC

P.O. Box XXXXX

New York, NY 10150-XXXX

<<Barcode>>

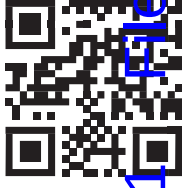
Class Member ID: <<Refnum>>

ase 2:24-cv-00473-DAD-JDP

Document 42-1

Filed 03/04/25

Page 49 of 7



Lead Case No. 2:24-cv-00473-DAD-JDP

VISIT THE SETTLEMENT WEBSITE BY
SCANNING THE PROVIDED QR CODE

POSTCARD CLAIM FORM

To submit a Claim for Cash Payment B (estimated \$100 cash payment, subject to *pro rata* adjustments)) and/or Credit Monitoring, please complete the below form, sign, and mail this portion of the postcard to the Settlement Administrator by **no later than <Claim Form Deadline>**. **Note:** Claims for Cash Payment A (documented losses) require supporting documentation and therefore must be submitted online at www.website.com or mailed to the Settlement Administrator with a separate Claim Form downloadable on the Settlement Website. **To receive a Cash Payment from this Settlement via an electronic payment, you must file a Claim Form online at www.Website.com and include your email address by <<Claim Form Deadline>>.**

Class Member ID: <<refnum>>
<<firstname>> <<lastname>>
<<Company>>
<<address1>> <<address2>>
<<City>>, <<State>> <<Zip>>

If different than the preprinted data on the left, please print your correct information

Telephone Number: () - @

Cash Payment B (estimated \$100 cash payment)

☐ I would like to receive an estimated \$100 cash payment *instead of* seeking Cash Payment A (documented losses).

Credit Monitoring

☐ I would like to receive up to one year of Credit Monitoring with three credit bureaus.

SIGN AND DATE YOUR CLAIM FORM

I declare under penalty of perjury that the information supplied in this Claim Form is true and correct. I authorize the Settlement Administrator to contact me, using the contact information set forth above, to obtain any necessary supplemental information.

Signature: _____ Print Name: _____ Date (mm/dd/yyyy): ____ / ____ / ____

— EXHIBIT 2 —

NOTICE OF PROPOSED CLASS ACTION SETTLEMENT

United States District Court for the Eastern District of California

Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC

Lead Case No. 2:24-cv-00473-DAD-JDP

A Court has authorized this Long Form Notice (“Notice”). This is not a solicitation from a lawyer.

If You Are A Person In The United States Whose Private Information Was Potentially Accessible As A Result of the Data Incident, Including If You Were Sent Notification from Defendant That Your Private Information Was Potentially Accessible As A Result of the Data Incident, You Are Eligible to Receive a Settlement Class Member Benefit from a Class Action Settlement

- A Court authorized this Notice to those that are eligible to receive Settlement Class Member Benefits from a proposed class action Settlement. The Action is titled *Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC*, Lead Case No. 2:24-cv-00473-DAD-JDP and is pending in the United States District Court for the Eastern District of California. The persons that filed the class action lawsuit are called Plaintiffs or Class Representatives and the company they sued is California Northstate University, LLC (or Defendant). Defendant denies any wrongdoing whatsoever.

- **Who is a Settlement Class Member?**

All persons in the United States whose Private Information was potentially accessible as a result of the Data Incident, including those who were sent notification from Defendant that their Private Information was potentially accessible as a result of the Data Incident.

Excluded from the Settlement Class are (a) all persons who are governing board members of the Defendant; (b) governmental entities; and (c) the Court, the Court’s immediate family, and Court staff, and (d) any Settlement Class Member who timely and validly requests to opt-out from the Settlement.

- Settlement Class Members under the Settlement Agreement will be eligible to receive:
 - ❖ **Cash Payment A – Documented Losses:** Settlement Class members may submit a Claim for a Cash Payment for up to **\$5,000** per Settlement Class Member, subject to *pro rata* adjustment, upon submission of a Valid Claim and supporting documentation. Settlement Class members will be required to submit reasonable documentation supporting the losses.

OR

- ❖ **Cash Payment B – Flat Cash Payment:** As an alternative to Cash Payment A above, a Settlement Class member may elect to receive Cash Payment B, which is a flat Cash Payment in an estimated amount of **\$100**, subject to *pro rata* adjustment.

In addition to a Cash Payment, Settlement Class members may select the following:

- ❖ **Credit Monitoring** – Settlement Class members may elect up to one year of three-bureau Credit Monitoring that will provide the following benefits: three-bureau credit monitoring, dark web monitoring, identity theft insurance coverage for up to \$1,000,000, and fully managed identity recovery services.
- To submit a Claim or obtain more information visit www.website.com or call (XXX) XXX-XXXX to request a Claim Form no later than <<Claim Form Deadline>>.

Please read this Notice carefully. Your legal rights will be affected, and you have a choice to make at this time.

	Summary of Legal Rights	Deadline(s)
Submit a Claim Form	The only way to receive a Settlement Class Member Benefit from the Settlement.	Submitted or postmarked on or before <<Claim Form Deadline>>.
Exclude Yourself by Opting Out of the Class	Receive no benefit from the Settlement. This is the only option that allows you to keep your right to bring any other lawsuit against Defendant relating to the Data Incident.	Mailed and postmarked on or before <<Opt-Out Period>>.
Object to the Settlement and/or Attend the Final Approval Hearing	You can write the Court about why you agree or disagree with the Settlement or the Application for Attorneys' Fees, Costs and Service Awards. The Court cannot order a different settlement. You can also ask to speak at the Final Approval Hearing on <<Final Approval Hearing date>>, about the fairness of the Settlement, with or without your own attorney.	Mailed and postmarked on or before <<Objection Period>>.
Do Nothing	You will not receive any Settlement Class Member Benefit from this class action Settlement.	N/A

- Your rights and options as a Settlement Class member – **and the deadlines to exercise your rights** – are explained in this Notice.
- The Court still will have to decide whether to approve the Settlement. Payments to Settlement Class Members will be made only if the Court approves the Settlement and after any possible appeals are resolved.

Questions? Go to www.website.com or call (XXX) XXX-XXXX

What This Notice Contains

Basic Information	4
Who is in the Settlement	4
The Settlement Benefits—What You Get if You Qualify	5
How Do You Submit a Claim	6
Opting Out of the Settlement	7
Objecting to the Settlement	8
The Lawyers Representing You	9
The Court’s Final Approval Hearing	10
If You Do Nothing	10
Additional Information	11

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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BASIC INFORMATION

1. Why is there a Notice?

The Court authorized this Notice because you have a right to know about the Settlement, and all of your options, before the Court decides whether to give Final Approval to the Settlement. This Notice explains the nature of the Action that is the subject of the Settlement, the general terms of the Settlement, and your legal rights and options.

The Honorable Dale A. Drozd of the United States District Court for the Eastern District of California, is overseeing this case captioned as *Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC*, Lead Case No. 2:24-cv-00473-DAD-JDP. The people who brought the lawsuit are called the Plaintiffs and/or the Class Representatives. The company being sued, California Northstate University, LLC, is called the Defendant.

2. What is the Action about?

The Action alleges that, on or about December 21, 2023, Defendant began notifying current, former, and prospective students and employees that it recently completed an investigation of an incident that involved unauthorized access to certain computer systems of Defendant. The investigation determined that between February 12, 2023, and February 13, 2023, an unauthorized actor potentially accessed and obtained certain files stored on Defendant's servers. Following a review of the files that were impacted, Defendant determined that information which may have been involved potentially included its current, former, and prospective students' and employees' names in combination with their Social Security numbers.

Defendant denies any wrongdoing whatsoever. No court or other judicial body has made any judgment or other determination that Defendant has done anything wrong.

3. Why is this a class action?

In a class action, one or more people called "Class Representatives" or "Plaintiffs" sue on behalf of all people who have similar claims. Together, all of these people are called a "Settlement Class," and the individuals are called "Settlement Class members." One court resolves the issues for all Settlement Class members, except for those who opt-out of the Settlement Class.

4. Why is there a Settlement?

The Court has not decided in favor of the Plaintiffs or Defendant. Instead, both sides agreed to the Settlement. The Settlement avoids the cost and risk of a trial and related appeals, while providing Settlement Class Member Benefits. The Class Representatives appointed to represent the Settlement Class, and the attorneys for the Settlement Class, Class Counsel, think the Settlement is best for all Settlement Class members.

WHO IS IN THE SETTLEMENT?

5. How do I know if I am part of the Settlement?

You are affected by the Settlement and potentially a Settlement Class member if you are a person in

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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the United States whose Private Information was potentially accessible as a result of the Data Incident, including those who were sent notification from Defendant that their Private Information was potentially accessible as a result of the Data Incident.

Only Settlement Class members are eligible to receive Settlement Class Member Benefits. Excluded from the Settlement Class are: (a) all persons who are governing board members of the Defendant; (b) governmental entities; and (c) the Court, the Court's immediate family, and Court staff, and (d) any Settlement Class member who timely and validly requests to opt-out from the Settlement.

6. What if I am not sure whether I am included in the Settlement?

If you are not sure whether you are included in the Settlement, you may call (XXX) XXX-XXXX with questions. You may also write with questions to:

<<Mailing Caption>>
c/o Kroll Settlement Administration LLC
PO Box XXXX
New York, NY 10150-XXXX

THE SETTLEMENT BENEFITS—WHAT YOU GET IF YOU QUALIFY

7. What does the Settlement provide?

The Settlement provides the following Settlement Class Member Benefits available to Settlement Class members who submit Valid Claims: (a) Cash Payment A – up to \$5,000 per Settlement Class Member, with supporting documentation; or (b) Cash Payment B – an estimated \$100 cash payment; and, in addition to a Cash Payment (c) Credit Monitoring for one year of Credit Monitoring with three credit bureaus.

Cash Payments to Settlement Class Members will be subject to a *pro rata* increase, or equal percentage increase, from the Settlement Fund in the event the amount of Valid Claims is insufficient to exhaust the entire Settlement Fund. Similarly, in the event the amount of Valid Claims exhausts the amount of the Settlement Fund, the amount of the Cash Payments may be reduced *pro rata* accordingly.

Business practice changes – Defendant has undertaken reasonable steps to further secure their systems and environments, and the changes and improvements have been made to protect Settlement Class members' Private Information.

8. What Settlement Class Member Benefits are available under the Settlement?

Settlement Class Members that submit a Valid Claim may select one of the following Cash Payments:

- a) **Cash Payment A - Documented Losses:** Settlement Class members may submit a Claim for up to a total of \$5,000 per Settlement Class member, upon submission of a Claim Form **and supporting documentation.**

- To receive a documented loss payment, a Settlement Class member must elect

Questions? Go to www.website.com or call (XXX) XXX-XXXX

Cash Payment A on the Claim Form attesting under penalty of perjury to incurring documenting losses. Settlement Class Members will be required to submit reasonable documentation supporting the losses. Settlement Class Members shall not be reimbursed for expenses if they have been reimbursed for the same expenses by another source, including compensation provided in connection with the credit monitoring and identity theft protection product offered as part of the notification letter provided by Defendant or otherwise. If a Settlement Class Member does not submit reasonable documentation supporting a loss, or if their Claim is rejected by the Settlement Administrator for any reason, and the Settlement Class Member fails to cure his or her Claim, the Claim will be rejected and the Settlement Class Member's Claim will not receive a Cash Payment.

OR

- b) **Cash Payment B – Flat Cash Payment:** As an alternative to Cash Payment A above, a Settlement Class member may elect to receive Cash Payment B, which is a flat cash payment in an estimated amount of \$100.

Cash Payments to Settlement Class Members will be subject to a *pro rata* increase from the Settlement Fund in the event the amount of Valid Claims is insufficient to exhaust the entire Settlement Fund. Similarly, in the event the amount of Valid Claims exhausts the amount of the Settlement Fund, the amount of the Cash Payments may be reduced *pro rata* accordingly.

In addition to electing a Cash Payment, Settlement Class members may also elect:

- c) **Credit Monitoring:** up to one year of three-bureau Credit Monitoring that will provide the following benefits: three-bureau credit monitoring, dark web monitoring, identity theft insurance coverage for up to \$1,000,000, and fully managed identity recovery services.

HOW DO YOU SUBMIT A CLAIM?

9. How do I get a Settlement Class Member Benefit?

To receive a Settlement Class Member Benefit, you must complete and submit a Claim Form online at www.website.com or by mail to <<Mailing Caption>>, c/o Kroll Settlement Administration LLC, PO Box XXXX, New York, NY 10150-XXXX. Read the Claim Form instructions carefully, fill out the Claim Form, provide the required documentation, and submit online by <<Claim Form Deadline>> or by mail postmarked by <<Claim Form Deadline>>.

TO RECEIVE AN ELECTRONIC OR ACH PAYMENT FOR YOUR VALID CLAIM, YOU MUST FILE A CLAIM FORM ONLINE AT WWW.WEBSITE.COM AND INCLUDE YOUR EMAIL ADDRESS ON THE CLAIM FORM

10. When will I get my Settlement Class Member Benefit?

The Court will hold a Final Approval Hearing on <<Date>>, at <<Time>> a.m. ET to decide whether to approve the Settlement. If the Court approves the Settlement, there may be appeals from that decision and resolving them can take time. It also takes time for all of the Claim Forms to be

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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processed. Please be patient. Payments will begin after the Settlement has obtained Court approval and the time for all appeals has expired.

11. What am I giving up as part of the Settlement?

Defendant and its affiliates will receive a Release from all claims that could have been or that were brought against Defendant relating to the Data Incident. Thus, if the Settlement becomes final and you do not exclude yourself from the Settlement, you will be a Settlement Class Member and you will give up your right to sue Defendant and its present and former parents, subsidiaries, divisions, departments, affiliates, predecessors, successors and assigns, and any and all of its past, present, and future directors, officers, executives, officials, principals, stockholders, heirs, agents, insurers, reinsurers, members, attorneys, accountants, actuaries, fiduciaries, advisors, consultants, representatives, partners, joint venturers, licensees, licensors, independent contractors, subrogees, trustees, executors, administrators, clients, customers, data owners, associated third parties, predecessors, successors and assigns, and any other person acting on Defendant's behalf and/or in their capacity as such, and assigns of each of them as well as covered entities associated with the Data Incident. These Releases are described in Section XIII of the Settlement Agreement, which is available at www.website.com. If you have any questions, you can talk to the law firms listed in Question 18 for free or you can talk to your own lawyer.

OPTING OUT OF THE SETTLEMENT

If you do not want to be part of the Settlement, then you must take steps to opt-out of, or exclude yourself from, the Settlement Class.

12. If I opt-out, can I get a Cash Payment from this Settlement?

No. If you opt-out, you will not be entitled to receive any benefits from the Settlement.

13. If I do not opt-out, can I sue the Released Parties for the same thing later?

No. Unless you opt-out, you give up any right to sue Defendant and any other Released Parties for any claim that could have been or was brought relating to the Data Incident. You must opt-out of the Settlement to start your own lawsuit or to be part of any different lawsuit relating to the claims in this case.

14. How do I opt-out of the Settlement?

To opt-out, send an opt-out request or written notice of intent to opt-out that says you want to be excluded from the Settlement in *Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith v. California Northstate University, LLC*, Lead Case No. 2:24-cv-00473-DAD-JDP. The opt-out request must be personally signed by the Settlement Class member and contain the name, address, telephone number, and email address (if any), and include a statement indicating a request to be excluded from the Settlement Class. Any individual in the Settlement Class who does not timely and validly request to opt-out shall be bound by the terms of this Agreement even if he or she does not submit a Valid Claim. You must mail your opt-out request to the Settlement Administrator

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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postmarked by <<end of the Opt-Out Period>>, to:

<<Mailing Caption>>
c/o Kroll Settlement Administration LLC
PO Box XXXX
New York, NY 10150-XXXX

OBJECTING TO THE SETTLEMENT

15. How do I tell the Court that I do not like the Settlement?

You can tell the Court that you do not agree with the Settlement, and/or Application for Attorneys' Fees, Costs, and Service Awards or some part of it by objecting to the Settlement. For an objection to be a valid objection under the Settlement, it must be in writing, mailed to the Clerk of Court, Class Counsel, Defendant's Counsel, and the Settlement Administrator at the addresses listed below, postmarked by **no later** than <<end of the Objection Period>>.

Clerk of the Court	Class Counsel
Robert T. Matsui United States Courthouse Room 4-200, 4th Floor 501 I Street Sacramento, CA 95814	Kristen Lake Cardoso Kopelowitz Ostrow P.A. One West Las Olas Blvd., Ste. 500 Fort Lauderdale, FL 33301 Leanna A. Loginov Shamis & Gentile, P.A. 14 NE 1st Ave., Ste. 705 Miami, FL 33132 Scott Edelsberg Edelsberg Law, P.A. 1925 Century Park E., Ste. 1700 Los Angeles, CA 90067 Cassandra P. Miller Strauss Borrelli PLLC 980 N. Michigan Ave., Ste. 1610 Chicago, IL 60611
Defendant's Counsel	Settlement Administrator
Sean P. Killeen Baker Hostetler 600 Montgomery St., Ste 3100 San Francisco, CA 94111	<<Mailing caption>> c/o Kroll Settlement Administration LLC PO Box XXXX New York, NY 10150-XXXX

Your objection must be written and must include all of the following:

- i) the objector's full name, mailing address, telephone number, and email address (if any);
- ii) all grounds for the objection, accompanied by any legal support for the objection known to

Questions? Go to www.website.com or call (XXX) XXX-XXXX

- the objector or objector's counsel;
- iii) the number of times the objector has objected to a class action settlement within the 5 years preceding the date that the objector files the objection, the caption of each case in which the objector has made such objection, and a copy of any orders related to or ruling upon the objector's prior objections that were issued by the trial and appellate courts in each listed case;
 - iv) the identity of all counsel who represent the objector, including any former or current counsel who may be entitled to compensation for any reason related to the objection to the Settlement and/or Application for Attorneys' Fees, Costs, and Service Awards;
 - v) the number of times in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the 5 years preceding the date of the filed objection, the caption of each case in which counsel or the firm has made such objection and a copy of any orders related to or ruling upon counsel's or the counsel's law firm's prior objections that were issued by the trial and appellate courts in each listed case in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the preceding 5 years;
 - vi) any and all agreements that relate to the objection or the process of objecting—whether written or oral—between objector or objector's counsel and any other person or entity;
 - vii) the identity of all counsel (if any) representing the objector who will appear at the Final Approval Hearing;
 - viii) a list of all persons who will be called to testify at the Final Approval Hearing in support of the objection (if any);
 - ix) a statement confirming whether the objector intends to personally appear and/or testify at the Final Approval Hearing; and
 - x) the objector's signature (an attorney's signature is not sufficient).

16. What is the difference between objecting and opting out?

Objecting is telling the Court that you do not like the Settlement or parts of it and why you do not think it should be approved. You can object only if you are a Settlement Class Member. Opting Out is telling the Court that you do not want to be part of the Settlement Class and do not want to receive any Settlement Class Member Benefit. If you exclude yourself, you have no basis to object because you are no longer a Settlement Class member, and the case no longer affects you.

THE LAWYERS REPRESENTING YOU

17. Do I have a lawyer in this case?

Yes. The Court appointed Kristen Lake Cardoso of Kopelowitz Ostrow P.A., Leanna A. Loginov of Shamis & Gentile, P.A., Scott Edelsberg of Edelsberg Law, P.A., and Cassandra P. Miller of Strauss Borrelli PLLC, as Class Counsel to represent the Settlement Class in Settlement negotiations. If you want to be represented by your own lawyer, you may hire one at your own expense.

18. How will the lawyers be paid?

Class Counsel will file an Application for Attorneys' Fees, Costs, and Service Awards for an award

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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of attorneys' fees to be paid from the Settlement Fund not to exceed 25% of the Settlement Fund, or \$100,000, plus reimbursement of costs. Any such award would compensate Class Counsel for investigating the facts, litigating the case, and negotiating the Settlement and will be the only payment to them for their efforts in achieving this Settlement and for their risk in undertaking this representation on a wholly contingent basis.

Class Counsel will include a request for Service Award payments for the Class Representatives in recognition for their contributions to this Action not to exceed \$2,000 per Class Representative, from the Settlement Fund.

Any attorneys' fees, costs, and Service Award payments must be approved by the Court. The Court may award less than the amounts requested.

THE COURT'S FINAL APPROVAL HEARING

19. When and where will the Court decide whether to approve the Settlement?

The Court will hold a Final Approval Hearing at <<Time>> PT on <<Date>>, at the <<Court Address>>, Room [] as ordered by the Court. At this hearing, the Court will consider whether the Settlement is fair, reasonable, and adequate. If there are timely and valid objections, the Court will consider them and will listen to people who have asked to speak at the hearing if such a request has been properly made. The Court will also rule on the Application for Attorneys' Fees, Costs, and Service Awards payments. After the hearing, the Court will decide whether to approve the Settlement. We do not know how long these decisions will take. The hearing may be moved to a different date or time without additional notice, so Class Counsel recommends checking the Settlement Website www.website.com, or calling (XXX) XXX-XXXX.

20. Do I have to attend the Final Approval Hearing?

No. Class Counsel will present the Settlement to the Court. You or your own lawyer are welcome to attend at your expense, but you are not required to do so. If you send an objection, you do not have to visit the Court to talk about it. As long as you filed your written objection on time with the Court and mailed it according to the instructions provided in Question 15, the Court will consider it.

21. May I speak at the Final Approval Hearing?

You may ask the Court for permission to speak at the Final Approval Hearing. To do so, you must file an objection according to the instructions in Question 15, including all the information required. Your objection must be mailed to the Clerk of the Court, Class Counsel, Defendant's Counsel and the Settlement Administrator, at the mailing addresses listed above, postmarked by no later than the <<end of the Objection Period>>.

IF YOU DO NOTHING

22. What happens if I do nothing?

If you do nothing, you will not receive any Settlement Class Member Benefits. If the Settlement is Questions? Go to www.website.com or call (XXX) XXX-XXXX

granted Final Approval and becomes final, you will not be able to start a lawsuit, continue with a lawsuit, or be part of any other lawsuit against Defendant or the other Released Parties based on any claim that could have been or that was brought relating to the Data Incident.

ADDITIONAL INFORMATION

23. How do I get more information?

This Notice summarizes the Settlement. More details are in the Settlement Agreement itself. A copy of the Settlement Agreement is available at www.website.com. You may also call the Settlement Administrator with questions or to receive a Claim Form at (XXX) XXX-XXXX.

24. What if my contact information changes or I no longer live at my address?

It is your responsibility to inform the Settlement Administrator of your updated information. You may do so at the address below, calling toll-free (XXX) XXX-XXXX or at the Contact page of the Settlement Website:

<<<Mailing Caption>>>
c/o Kroll Settlement Administration LLC
PO Box XXXX
New York, NY 10150-XXXX

**PLEASE DO NOT TELEPHONE THE COURT OR THE COURT'S
CLERK OFFICE REGARDING THIS NOTICE.**

Questions? Go to www.website.com or call (XXX) XXX-XXXX

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— EXHIBIT 3 —

Your Claim must
be submitted
online or
postmarked by:

<<Claim Form
Deadline>>

**CLAIM FORM FOR CALIFORNIA NORTHSTATE
UNIVERSITY DATA INCIDENT ACTION**

*Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly
Vongnalith v. California Northstate University, LLC*
Lead Case No. 2:24-cv-00473-DAD-JDP
United States District Court for the Eastern District of California

**CALIFORNIA
NORTHSTATE
UNIVERSITY-C**

GENERAL INSTRUCTIONS

You have been identified by the Settlement Administrator as a Settlement Class member who may have received a notice from Defendant that your Private Information may have been impacted by the Data Incident and were sent notification from Defendant that your Private Information was potentially accessible as a result of the Data Incident. You may submit a Claim for a Settlement Class Member Benefit, outlined below.

Please refer to the Notice posted on the Settlement Website www.Website.com, for more information on submitting a Claim Form and if you part of the Settlement Class.

To receive a Settlement Class Member Benefit from this Settlement via an electronic payment, you must submit the Claim Form below electronically at www.Website.com by <<Claim Form Deadline>> and include your email address.

This Claim Form may also be mailed to the address below. Please type or legibly print all requested information, in blue or black ink. Mail your completed Claim Form, including any supporting documentation, by U.S. mail to:

<<Mailing Caption>>

c/o Kroll Settlement Administration LLC
PO Box XXXX
New York, NY 10150-XXXX

Cash Payments will be adjusted up or down depending on the amount of Valid Claims. Any increases or decreases to Cash Payments will be on a *pro rata*, or equal, percentage basis. **You may submit a Claim for one of the following benefits:**

- 1) ***Cash Payment A – Documented Losses:*** Settlement Class members may submit a Claim for a Cash Payment for up to **\$5,000** per Settlement Class member, upon submission of a Valid Claim and supporting documentation, subject to *pro rata* adjustment. Settlement Class Members will be required to submit reasonable documentation supporting the losses; **OR**
- 2) ***Cash Payment B – Flat Cash Payment:*** As an alternative to Cash Payment A above, a Settlement Class member may elect to receive Cash Payment B, which is a flat cash payment in an estimated amount of **\$100**, subject to *pro rata* adjustment.

In addition to a Cash Payment, Settlement Class Members may select the following:

- 3) ***Credit Monitoring*** – Settlement Class members may elect up to one year of credit monitoring that will provide the following benefits: three-bureau credit monitoring, dark web monitoring, identity theft insurance coverage for up to \$1,000,000, and fully managed identity recovery services.

Questions? Go to www.Website.com or call (XXX) XXX-XXXX.

I. PAYMENT SELECTION

If you would like to elect to receive your Cash Payment through electronic transfer, please be sure to provide your email address and timely file your Claim Form. The Settlement Website includes a step-by-step guide for you to complete the electronic payment option.

II. SETTLEMENT CLASS MEMBER NAME AND CONTACT INFORMATION

Provide your name and contact information below. You must notify the Settlement Administrator if your contact information changes after you submit this Claim Form.

First Name

Last Name

Address 1

Address 2

City

State

Zip Code

Email Address (optional): _____@_____.com

Telephone Number: (____) _____ - _____

III. PROOF OF DATA INCIDENT SETTLEMENT CLASS MEMBERSHIP

☐ Check this box to certify if you are a person in the United States whose Private Information was potentially accessible as a result of the Data Incident, including those who were sent notification from Defendant that their Private Information was potentially accessible as a result of the Data Incident.

Enter the Class Member ID Number provided on your Postcard or Email Notice:

Class Member ID : 0 0 0 0 0 _____

Questions? Go to www.Website.com or call (XXX) XXX-XXXX.

IV. CASH PAYMENT A – DOCUMENTED LOSSES

All Settlement Class members are eligible for compensation for up to \$5,000 per Settlement Class member for documented losses incurred as a result of the Data Incident, subject to *pro rata* adjustment.

- (i) Settlement Class members will be required to submit reasonable documentation supporting the losses. Settlement Class members shall not be reimbursed for expenses if they have been reimbursed for the same expenses by another source, including compensation provided in connection with the identity protection and credit monitoring services offered as part of the notification letter provided by Defendant or otherwise. If a Settlement Class member does not submit reasonable documentation supporting a loss, or if their Claim is rejected by the Settlement Administrator for any reason, and the Settlement Class member fails to cure his or her Claim, the Claim will be rejected and the Settlement Class member’s Claim will not receive a Cash Payment.

Settlement Class members with documented losses must submit documentation supporting their claims. This can include receipts or other documentation not “self-prepared” by the claimant that document the costs incurred. “Self-prepared” documents such as handwritten receipts are, by themselves, insufficient to receive reimbursement, but can be considered to add clarity or support other submitted documentation.

You must have documented losses incurred as a result of the Data Incident and submit documentation to obtain this benefit.

☐ I have attached documentation showing that the documented losses were more likely than not caused by the Data Incident. “Self-prepared” documents such as handwritten receipts are, by themselves, insufficient to receive reimbursement, but can be considered to add clarity or support to other submitted documentation.

Cost Type (Fill all that apply)	Approximate Date of Loss	Amount of Loss	Description of Supporting Reasonable Documentation (Identify what you are attaching and why)
Example: Identity Theft Protection Service	0 7/17/2 0 (mm/dd/yy)	\$50.00	Copy of identity theft protection service bill
	— / — / — (mm/dd/yy)	\$ _____.	
	— — / — / — (mm/dd/yy)	\$ _____.	
	— — / — / — (mm/dd/yy)	\$ _____.	

Questions? Go to www.Website.com or call (XXX) XXX-XXXX.

V. CASH PAYMENT B – FLAT CASH PAYMENT

By checking the below box, I choose an estimated \$100 Cash Payment, subject to *pro rata* adjustment. **Do not submit a Claim for Cash Payment A.**

☐

Yes, I choose an estimated \$100 Cash Payment instead of Cash Payment A for documented losses.

IN ADDITION TO THE CASH PAYMENTS, YOU MAY ALSO SELECT THE SETTLEMENT CLASS MEMBER BENEFIT BELOW

VI. CREDIT MONITORING

☐

one year of Credit Monitoring

Check the box above if you wish to receive, in addition to electing compensation for Cash Payment A or Cash Payment B, one year of Monitoring that will provide the following benefits: three-bureau credit monitoring, dark web monitoring, identity theft insurance coverage for up to \$1,000,000, and fully managed identity recovery services. **You may also select Cash Payment A or Cash Payment B.**

VII. ATTESTATION & SIGNATURE

I swear and affirm under the laws of my state that the information I have supplied in this Claim Form is true and correct to the best of my recollection, and that this form was executed on the date set forth below.

Signature

Date

Print Name

Questions? Go to www.Website.com or call (XXX) XXX-XXXX.

— EXHIBIT 4 —

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**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA**

GANESH SANKAR, ERIKA TITUS-LAY,
JARED CAVANAUGH, and KIMBERLY
VONGNALITH, on behalf of themselves and all
others similarly situated,

Plaintiffs,

v.

CALIFORNIA NORTHSTATE
UNIVERSITY, LLC,

Defendant.

Case No. 2:24-cv-00473-DAD-JDP

[PROPOSED] ORDER GRANTING
PRELIMINARY APPROVAL OF
CLASS ACTION SETTLEMENT

Judge: Judge Dale A. Drozd

Before the Court is Plaintiffs' Motion for Preliminary Approval¹ (ECF No. ____, the terms of which are set forth in a Settlement Agreement between Plaintiffs and Defendant California Northstate University, LLC, with accompanying exhibits attached thereto.

Having fully considered the issue, the Court hereby **GRANTS** the Motion for Preliminary Approval and **ORDERS** as follows:

¹ All defined terms herein have the meaning given to such terms in the Settlement Agreement, unless otherwise indicated.

1 1. **Class Certification for Settlement Purposes Only.** The Settlement
2 Agreement provides for a Settlement Class defined as follows:

3 All persons in the United States whose Private Information was potentially
4 accessible as a result of the Data Incident, including those who were sent
5 notification from Defendant that their Private Information was potentially
6 accessible as a result of the Data Incident.

7 Excluded from the Settlement Class are (a) all persons who are governing board members
8 of the Defendant; (b) governmental entities; and (c) the Court, the Court's immediate family, and
9 Court staff. Pursuant to Fed. R. Civ. P. 23, the Court finds giving Notice to the Settlement Class
10 is justified. The Court finds it will likely be able to approve the proposed Settlement as fair,
11 reasonable, and adequate. The Court also finds it will likely be able to certify the Settlement Class
12 for purposes of judgment on the Settlement because it meets all requirements of Rule 23.

13 Specifically, the Court provisionally finds for settlement purposes only that: (a) the
14 Settlement Class is so numerous that joinder of all Settlement Class members would be
15 impracticable; (b) there are issues of law and fact that are common to the Settlement Class; (c)
16 the claims of the Class Representatives are typical, and the Class Representatives seek similar
17 relief as the claims of the Settlement Class members; (d) the Class Representatives will fairly
18 and adequately protect the interests of the Settlement Class; (e) questions of law or fact common
19 to Settlement Class members predominate over any questions affecting only individual
20 members; and (f) a class action and class settlement is superior to other methods available for a
21 fair and efficient resolution of this Action.

22 2. **Class Representatives and Class Counsel.** The Court finds that Plaintiffs
23 Ganesh Sankar, Erika Titus-Lay, Jared Cavanaugh, and Kimberly Vongnalith will likely satisfy the
24 requirements of Rule 23(a) and should be appointed as the Class Representatives. Additionally, the
25 Court finds Kristen Lake Cardoso of Kopelowitz Ostrow P.A., Leanna A. Loginov of Shamis &
26 Gentile, P.A., Scott Edelsberg of Edelsberg Law, P.A., and Cassandra Miller of Strauss Borrelli
27 PLLC will likely satisfy the requirements of Rule 23(a) and should be appointed as Class
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Counsel.

3. **Preliminary Approval.** Upon preliminary review, the Court finds the Settlement is fair, reasonable, and adequate to warrant providing Notice of the Settlement to the Settlement Class and accordingly the Settlement is preliminarily approved. In making this determination, the Court has considered the monetary and non-monetary Settlement Class Member Benefits provided through the Settlement, the specific risks faced by the Settlement Class in prevailing on their claims, the good faith, arms' length negotiations between the Parties and absence of evidence of collusion in the Settlement, the effectiveness of the proposed method for notifying and distributing relief to the Settlement Class, the proposed manner of allocating benefits to Settlement Class Members, the equitable treatment of the Settlement Class Members under the Settlement, and all of the other factors required by Rule 23 and relevant case law.

4. **Jurisdiction.** The Court has subject matter jurisdiction pursuant to the Class Action Fairness Act, 28 U.S.C. § 1332(d)(2) and personal jurisdiction over the Parties before it. Additionally, venue is proper in this District pursuant to 28 U.S.C. § 1391(a)(1).

5. **Final Approval Hearing.** A Final Approval Hearing shall be held on __, 2025, [at the Robert T. Matsui United States Courthouse, 501 I Street, Courtroom 4, 15th Floor, Sacramento, CA 95814 / via Zoom], where the Court will determine, among other things, whether: (a) the Settlement Class should be finally certified for settlement purposes; (b) the Settlement should be finally approved as fair, reasonable, and adequate; (c) this Action should be dismissed with prejudice pursuant to the terms of the Settlement Agreement; (d) Settlement Class Members (who have not timely and validly excluded themselves from the Settlement) should be bound by the Releases set forth in the Settlement Agreement; and (e) Class Counsel's Application for Attorneys' Fees, Costs, and Service Awards should be approved.

6. **Settlement Administrator.** The Court appoints Kroll Settlement Administration, LLC as the Settlement Administrator, with responsibility for disseminating Notice to Settlement Class members and settlement administration. The Settlement Administrator

1 is directed to perform all tasks the Settlement Agreement requires. Settlement Administration
2 Costs will be paid pursuant to the terms of the Settlement Agreement.

3 7. **Notice.** The Notice Program set forth in the Settlement Agreement and the Postcard
4 Notice, Long Form Notice, and Claim Form attached to the Settlement Agreement as **Exhibits 1, 2,**
5 **and 3** are hereby approved. Non-material modifications to these documents consistent with this
6 Order may be made by the Settlement Administrator in consultation and agreement with the
7 Parties, and without further order of the Court.

8 8. **Findings Concerning Notice.** The Court finds that the proposed form, content, and
9 method of providing Notice to the Settlement Class as described in the Settlement Agreement and
10 its exhibits: (a) will constitute the best practicable notice to the Settlement Class; (b) are reasonably
11 calculated, under the circumstances, to apprise Settlement Class members of the pendency of the
12 Action, the terms of the proposed Settlement, and their rights under the proposed Settlement,
13 including, but not limited to, their rights to object to or opt-out of the proposed Settlement and to
14 claim Settlement Class Member Benefits under the terms of the Settlement Agreement; (c) are
15 reasonable and constitute due, adequate, and sufficient notice to all Settlement Class members; (d)
16 meet all applicable requirements of law, including Rule 23; and (e) meet the requirements of the
17 Due Process Clause(s) of the United States Constitution. The Court further finds that the Notice
18 provided for in the Settlement Agreement is written in plain language, uses simple terminology,
19 and is designed to be readily understandable by Settlement Class members.

20 The Settlement Administrator is directed to carry out the Notice Program in conformance
21 with the Settlement Agreement.

22 9. **Opting Out of the Class.** Individuals in the Settlement Class may opt-out of the
23 Settlement Class at any time during the Opt-Out Period by mailing a request to opt-out to the
24 Settlement Administrator postmarked no later than the last day of the Opt-Out Period. The opt-out
25 request must be personally signed by the Settlement Class member and contain the name, address,
26 telephone number, and email address (if any), and include a statement indicating a request to be

1 excluded from the Settlement Class. Any individual in the Settlement Class who does not timely
2 and validly request to opt out shall be bound by the terms of this Agreement even if he or she does
3 not submit a Valid Claim.

4 In advance of the Final Approval Hearing, the Settlement Administrator shall prepare a
5 declaration confirming the Notice Program was completed in accordance with the terms of the
6 Settlement Agreement and the Preliminary Approval Order, describing how the Notice Program
7 was completed, indicating the number of Claim Forms received, providing the names of each
8 individual in the Settlement Class who timely and properly requested to opt-out from the
9 Settlement Class, indicating the number of objections received, and other information as may be
10 necessary to allow the Parties to seek and obtain Final Approval.

11 10. **Objections and Appearances.** A Settlement Class member (who does not submit a
12 timely written request to opt-out) desiring to object to the Settlement and/or Application for Attorneys'
13 Fees, Costs, and Service Awards may submit a timely written objection by the Objection Deadline
14 and as stated in the Notice.

15 The Long Form Notice shall include a procedure for the Settlement Class to object to the
16 Settlement and/or Application for Attorneys' Fees, Costs, and Service Awards. The Postcard
17 Notice shall direct the Settlement Class to review the Long Form Notice to obtain the objection
18 instructions. Objections must be mailed to the Clerk of the Court, Class Counsel, Defendant's
19 Counsel, and the Settlement Administrator. For an objection to be considered by the Court, the
20 objection must be submitted no later than the last day of the Objection Period, as specified in the
21 Notice. If submitted by mail, an objection shall be deemed to have been submitted when posted if
22 received with a postmark date indicated on the envelope if mailed first-class postage prepaid and
23 addressed in accordance with the instructions. If submitted by private courier (e.g., Federal
24 Express), an objection shall be deemed to have been submitted on the shipping date reflected on
25 the shipping label.

26 For an objection to be considered by the Court, the objection must also set forth: (a) the

objector's full name, mailing address, telephone number, and email address (if any); (b) all grounds for the objection, accompanied by any legal support for the objection known to the objector or objector's counsel; (c) the number of times the objector has objected to a class action settlement within the 5 years preceding the date that the objector files the objection, the caption of each case in which the objector has made such objection, and a copy of any orders related to or ruling upon the objector's prior objections that were issued by the trial and appellate courts in each listed case; (d) the identity of all counsel who represent the objector, including any former or current counsel who may be entitled to compensation for any reason related to the objection to the Settlement and/or Application for Attorneys' Fees, Costs, and Service Awards; (e) the number of times in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the 5 years preceding the date of the filed objection, the caption of each case in which counsel or the firm has made such objection and a copy of any orders related to or ruling upon counsel's or the counsel's law firm's prior objections that were issued by the trial and appellate courts in each listed case in which the objector's counsel and/or counsel's law firm have objected to a class action settlement within the preceding 5 years; (f) any and all agreements that relate to the objection or the process of objecting—whether written or oral—between objector or objector's counsel and any other person or entity; (g) the identity of all counsel (if any) representing the objector who will appear at the Final Approval Hearing; (h) a list of all persons who will be called to testify at the Final Approval Hearing in support of the objection (if any); (i) a statement confirming whether the objector intends to personally appear and/or testify at the Final Approval Hearing; and (j) the objector's signature (an attorney's signature is not sufficient). Class Counsel and/or Defendant's Counsel may conduct limited discovery on any objector or objector's counsel.

Any Settlement Class Member who fails to comply with the requirements for objecting shall waive and forfeit any and all rights he or she may have to appear separately and/or to object to the Settlement, shall be bound by all the terms of the Agreement and by all proceedings, orders, and judgments in the Action, and shall be precluded from seeking any review of the Agreement

and/or Final Approval Order and judgment by appeal or other means. The provisions stated in the Agreement shall be the exclusive means for any challenge to the Agreement. Any challenge to the Agreement or the Final Approval Order and judgment shall be pursuant to appeal under the Federal Rules of Appellate Procedure and not through a collateral attack.

11. **Claims Process**. Class Counsel and Defendant have created a process for Settlement Class Members to claim Settlement Class Member Benefits under the Settlement. The Court preliminarily approves this process and directs the Settlement Administrator to make the Claim Form or its substantial equivalent available to Settlement Class members in the manner specified in the Notice.

The Settlement Administrator will be responsible for effectuating the Claims process. Settlement Class members who qualify for and wish to submit a Claim Form shall do so in accordance with the requirement and procedures specified in the Notice and the Claim Form. If the Final Approval Order is entered, all Settlement Class Members who qualify for any Settlement Class Member Benefits but fail to submit a Claim in accordance with the requirements and procedures specified in the Notice and the Claim Form shall be forever barred from receiving any such benefit, but will in all other respects be subject to and bound by the provisions in the Final Approval Order, including the releases contained therein.

15. **Termination of Settlement**. This Preliminary Approval Order shall become null and void and shall be without prejudice to the rights of the Parties and of no force or effect if any of the following conditions are not met: (a) Court approval of the Settlement consideration set forth in Section V and the Releases set forth in Section XIII of this Agreement; (b) The Court has entered the Preliminary Approval Order; (c) The Court has entered the Final Approval Order, and all objections, if any, are overruled, and all appeals taken from the Final Approval Order are resolved in favor of Final Approval; and (d) The Effective Date has occurred. Defendant shall have the option to terminate the Agreement if more than 15 Settlement Class members opt-out of the Settlement. Defendant shall notify Class Counsel and the Court of its or their intent to terminate

1 this Agreement within 10 days after the end of the Opt-Out Period, or the option to terminate shall
2 be considered waived. In the event the Agreement is terminated or fails to become effective, all
3 funds in the Settlement Fund shall be promptly returned to Defendant. However, Defendant shall
4 have no right to seek from Plaintiffs, Class Counsel, or the Settlement Administrator the Settlement
5 Administration Costs paid by or on behalf of Defendant. After payment of any Settlement
6 Administration Costs that have been incurred and are due to be paid from the Settlement Fund, the
7 Settlement Administrator shall return the balance of the Settlement Fund to Defendant within 20
8 days of termination.

9 12. **Use of Order.** This Preliminary Approval shall not be construed or used as an
10 admission, concession, or declaration by or against Defendant of any fault, wrongdoing, breach,
11 liability, or the propriety of certifying any class in the Action. Nor shall this Preliminary Approval
12 Order be (i) construed or used as an admission, concession, or declaration by or against the Class
13 Representatives or any other Settlement Class member that his or her claims lack merit or that the
14 relief requested is inappropriate, improper, unavailable, or (ii) as a waiver by any Party of any
15 defense or claims they may have in this Action or in any other lawsuit.

16 13. **Continuance of Hearing.** The Court reserves the right to adjourn or continue the
17 Final Approval Hearing and related deadlines without further written notice to the Settlement
18 Class. If the Court alters any of those dates or times, the revised dates and times shall be posted on
19 the Settlement Website maintained by the Settlement Administrator. The Court may approve the
20 Settlement, with such modifications as may be agreed upon by the Parties, if appropriate, without
21 further notice to the Settlement Class.

22 14. **Stay of Litigation.** All proceedings in the Action, other than those related to
23 approval of the Settlement Agreement, are hereby stayed. Further, any actions brought by
24 Settlement Class members concerning the Released Claims are hereby enjoined and stayed
25 pending the Final Approval Hearing and the order issuing therefrom.

26 15. **Schedule and Deadlines.** The Court orders the following schedule of dates for the
27

specified actions/further proceedings:

Event	Timing
Deadline for Defendant to provide Class List	Within 5 days of Preliminary Approval Order
Deadline to commence Notice Program	Within 30 days of Preliminary Approval Order
Deadline to complete Notice Program	At least 60 days before the original date of Final Approval Hearing
Deadline for filing Motion for Final Approval, including Class Counsel's Application for Attorneys' Fees, Costs, and Service Awards	45 days before the original date of Final Approval Hearing
Objection Period Ends	30 days before the original date of the Final Approval Hearing
Opt-Out Period Ends	30 days before the original date of the Final Approval Hearing
Claim Form Deadline	15 days before the original date of the Final Approval Hearing
Final Approval Hearing	_____, 2025 at __: __ .m. in Courtroom ____ [No earlier than August 4, 2025]

IT IS SO ORDERED

Dated _____

Judge Dale A. Drozd